Volume 17

Pages 2196 - 2304

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

Before The Honorable Vince Chhabria, Judge

EDWARD HARDEMAN,

Plaintiff,

VS.

NO. C 16-00525 VC

PHASE II

MONSANTO COMPANY,

)

\_\_\_\_)

Defendant.

San Francisco, California Wednesday, March 20, 2019

## TRANSCRIPT OF PROCEEDINGS

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25					

# Wednesday - March 20, 2019 1 8:13 a.m. 2 PROCEEDINGS ---000---3 (Proceedings were heard out of presence of the jury:) 4 5 THE COURT: Okay. Good morning. I have a couple small items to discuss, but do you-all have anything? 6 Just a couple things, Your Honor. 7 MS. MOORE: THE COURT: Go ahead. 8 MS. MOORE: One is, Your Honor, as you recall in your 9 pretrial order regarding Dr. Mills, we did come up with a 10 11 stipulation of the numbers that Dr. Mills would testify about --12 13 THE COURT: Okay. MS. MOORE: -- that I believe were undisputed. 14 15 provided that to the Defense, and there is some disagreement on 16 the stip. I have a copy for Your Honor. 17 THE COURT: Okay. MS. MOORE: It is the same thing. 18 THE COURT: If there is some disagreement on the stip, 19 20 then it is not a stip, I quess. 21 MS. MOORE: I understand, Your Honor. I guess, my understanding is that Monsanto will agree to Number 2 as far as 22 23 the net worth and the cash on hand, but they will not stipulate to the other eight items. And so our position was that we 24 would then have to bring Dr. Mills in because these are numbers 25

from Dr. Mills -- and it is not disputed by anyone -- so we 1 were hoping that we could enter into a stip so we could avoid 2 taking the time to call him to the stand. 3 THE COURT: Well, you may need to call him, but let me 4 5 look at these numbers again. I don't -- I mean, what is the problem with these numbers? 6 MS. RUBENSTEIN: Well, Your Honor, we think that -- we 7 agree as far as the numbers that have come straight out of 8 Monsanto's financial documents; that those are the numbers. 9 We 10 do not agree that all of them are probative of the company's 11 ability to pay. So we are not willing to enter into a stipulation regarding any numbers other than net worth and cash 12 on hand. And in addition --13 So just Number 2? 14 THE COURT: MS. RUBENSTEIN: That is right. 15 16 THE COURT: Okay. 17 MS. RUBENSTEIN: And in addition, if Mr. Mills were to 18 testify, we don't think many of these numbers are admissible 19 through him either. In particular Number 1, but -- I mean, I 20 can go through the list and explain them all. With respect to Number 1, Your Honor previously ruled 21 22 that, you know, evidence related to Bayer was only admissible 23 as necessary to explain Monsanto's financial condition and the Bayer acquisition cost is not at all relevant to Monsanto's 24

25

financial position.

Monsanto is a separate legal entity wholly unsubsidiary. 1 Bayer isn't a Defendant. And the amount of money that Bayer 2 paid shareholders for the prior company really is not probative 3 of Monsanto's financial condition. 4 5 THE COURT: How much you buy a company for is not 6 probative of the company's worth? MS. RUBENSTEIN: So what is probative of the company's 7 worth is the numbers reported in the company's financials. 8 number, 63 billion, the Plaintiffs want because it is 9 10 prejudicial because it is a big number, and they want all of these numbers --11 THE COURT: It is because Bayer thought that that's 12 13 how much the corporation was worth. MS. RUBENSTEIN: But the net worth is stated in 14 15 Monsanto's documents. 16 THE COURT: Okay. I understand your argument. I 17 mean, I don't -- so the -- I mean, I think maybe we could cut 18 off this discussion in the following way: If -- if Monsanto 19 doesn't want to stipulate to some of these numbers that are 20 appropriate to come in, then the answer is that the Plaintiffs 21 can get it in, either through Dr. Mills -- if it is appropriate 22 to get it in through Dr. Mills -- or through some other 23 evidence if it is not appropriate to get it in through Dr. Mills. 24

So we can go through all of these items and kind of decide

25

1	what is admissible and what is not admissible. I don't know if
2	we need we should be doing that now or later, but I don't
3	I don't understand at all the argument that Item Number 1 is
4	not admissible.
5	MS. MOORE: I don't either, Your Honor. And it is the
6	same for Item Number 9, which is the payout to Hugh Grant, the
7	former CEO of 32 million; that was part of the acquisition
8	also. The rest of these numbers come from their financial
9	statements, their 10Q or their 10K. And then the two
LO	THE COURT: I mean, why wouldn't sorry to interrupt
L1	you but why just take Number 8, for example. Why it
L2	seems to me that Number 8 is potentially relevant to a couple
L3	of different things, right? I mean, it may be relevant to
L4	Monsanto's ability to pay, but it seems even more relevant to
L5	the issue of what was knowable both liability and punitive
L6	damages, whether Monsanto's conduct was extreme and outrageous.
L7	MS. RUBENSTEIN: But, Your Honor, an important thing
L8	to understand is that Mr. Mills is only offering an opinion on
L9	punitive damages. He offers absolutely no opinion on
20	liability. He stated that in his deposition. It is not
21	anywhere in his report. And, in fact, his report doesn't get
22	into what these numbers even mean. He just puts them on a

THE COURT: I understand. But why isn't it relevant to -- why isn't it relevant to punitive damages that -- well, I

22

23

24

25

slide.

```
mean, why can't they argue, Look at all the money Monsanto has
 1
    been willing to spend on advertising and it's not willing to,
 2
     you know, conduct any sort of objective inquiry into the safety
 3
     of its product. It is not willing to spend any money
 4
 5
     conducting any sort of objective inquiry --
              MS. RUBENSTEIN: Plaintiffs might make that argument,
 6
    but not through Mr. Mills.
 7
              THE COURT: But why can't they use this number, and
 8
     why can't they get in -- the point of my ruling for Mills was,
 9
     yes, it is appropriate to have an expert pull out -- pull out
10
11
     these numbers from the financials and provide them to the jury.
     So that is my ruling about Mills.
12
              MS. RUBENSTEIN:
                              Understood.
13
              THE COURT: So I don't understand what the problem
14
     is -- so Mills can come testify about this number if it is
15
     relevant to the trial, okay?
16
17
          Now, I'm talking about Number 8 as an example.
              MS. MOORE: And Number 7 -- I'm sorry, Your Honor.
18
     Number 7 is very similar --
19
20
              THE COURT:
                          Right.
                         -- to the point of Number 8.
21
              MS. MOORE:
22
              THE COURT:
                                  So I have already ruled he can
                          Right.
23
     come testify about those numbers. So then the question is:
     Are these numbers relevant to punitive damages? Are these
24
25
    numbers relevant to the argument that Monsanto's conduct with
```

1	respect to glyphosate and the safety of glyphosate is extreme
2	and outrageous? And I don't understand why they are not
3	relevant. I don't understand how I can't even understand
4	the argument that they are not relevant.
5	MS. RUBENSTEIN: Well and it may go back to your
6	ruling on Mr. Mills, but I don't see how these numbers are at
7	all probative of the company's ability to pay.
8	THE COURT: Like I said, these numbers, looking at
9	Number 7 and 8, are probative of the company's of the
10	outrageousness of the company's conduct. It may be that
11	that they are not probative of the company's ability to pay
12	although they seem somewhat probative of that as well.
13	MS. RUBENSTEIN: So I guess I'm stuck on how Mr. Mills
14	is the proper mouthpiece for these numbers because he
15	THE COURT: Because my ruling about Mr. Mills is that
16	you can have an expert pull out the numbers from the financials
17	to provide them to the jury so that the jury doesn't have to
18	sift through all the gobbledygook in the financials. That is
19	my ruling about Mr. Mills.
20	MS. RUBENSTEIN: I understand. And, you know, Your
21	Honor, the case law and I would be happy to hand you up a
22	few cases although there is no one metric to decide a
23	company's financial position

THE COURT: But, again, I think you keep sidestepping the main point here, which is -- again, at least as to Number 7

24

25

and Number 8 and -- you know, possibly Number 9 as well -- that the -- you know, it is not about -- it is not as much about the company's ability to pay as it is about the company's conduct with respect to the safety of its product.

Look at all these things that the company is spending extreme amounts of money on, and it's not willing to lift a finger to conduct any sort of objective inquiry about the safety of its product. That, I assume, is their argument. And I don't understand why these numbers are not relevant to that.

MS. RUBENSTEIN: I think, Your Honor, in the case law the point of introducing financial condition evidence is to show -- is to show the company's wealth.

THE COURT: Do you have any case that says that the amount of money a company spends on something else is not relevant to judge the company's mindset with respect to the thing that it is not spending money on?

MS. RUBENSTEIN: I don't have any case specifically suggesting that, but I do have cases saying that typically the number used to determine a company's financial condition is net worth.

THE COURT: Right. And I understand that, and you are focused exclusively on the net worth issue. And I'm explaining to you that I think that these numbers are relevant for a different issue.

MS. MOORE: And the same, Your Honor, would be true of

```
Number 6; that Monsanto chose to pay out almost a billion
 1
     dollars in cash dividends, 948 million. And then Number 9 that
 2
     we talked about; that they chose to pay their former CEO, one
 3
     person, over $32 million. So we think that 6, 7, 8 and 9 are
 4
 5
     probative to show how the company is choosing to spend their
     money versus testing their product.
 6
 7
              THE COURT: Okay. Remind me what you have in the
     slides.
             You have Number 2 in the slides, right?
 8
              MS. MOORE: Yes, Your Honor. Actually, I can hand it
 9
     to you.
             We have a chart.
10
                         Is this in the slides?
11
              THE COURT:
              MS. MOORE:
                         This is in the slide.
12
13
              MS. WAGSTAFF: The second-to-last page.
              THE COURT: I mean, this is my point exactly, right,
14
15
     is that this slide shows that -- what this is probative of is
     not Monsanto's -- not so much Monsanto's ability to pay --
16
17
     although it might be somewhat probative of that -- it is
18
     probative of Monsanto's mindset with respect to this product.
              MS. RUBENSTEIN: Well, the last thing I will add, Your
19
20
     Honor, is that all these numbers come from 2018 -- 2017 and
21
     2018 financial statements, and that is obviously post-use.
          So if the -- if Plaintiff's argument is that, you know,
22
23
     for instance, dividends paid in 2017 is somehow relevant to
     Monsanto's mindset in 2017, we have already decided that
24
    Monsanto's mindset and conduct post-use is not relevant.
25
```

1 think --

THE COURT: That's a good point, yeah. That's a good point.

MS. MOORE: But, Your Honor, it goes back to Monsanto continues this mantra of There is no evidence that the product causes cancer, that they don't need to test, that they have never spent any money on epidemiology. This just shows how much money they actually have that they could spend on testing and epidemiology studies.

MS. RUBENSTEIN: Even if Ms. Moore's representations are true, I don't see why those -- why that mindset in 2017 and 2018 is at all relevant to this trial.

THE COURT: Yeah. So that is a good point, and I hadn't thought about that.

So here is what the ruling is going to be for now -- I mean, one thing you may need to do is go back and figure out if you can put in numbers from -- put in figures from, you know, 2012. But as of now, I believe that the -- on the -- on the issue of showing Monsanto's ability to pay, the Item Number 2 is certainly admissible; and Item Number 1, I believe, is admissible.

MS. MOORE: Okay.

THE COURT: So I believe that that -- I believe that those two items are admissible. So for now you can use those in your opening statement.

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Thank you, Your Honor.
 1
              MS. MOORE:
              THE COURT: You can't use the other numbers in your
 2
     opening statement until we have a little more -- and can't use
 3
     those at trial. And I think everybody needs to take a little
 4
     more time to sort that out.
 5
              MS. MOORE: That's fine, Your Honor. We can look at
 6
     that.
 7
              THE COURT:
                         It may be there is nothing in the record
 8
     on the 2012 numbers, and so it may be that you can't use them.
 9
     But in any event, we can have a further discussion about
10
     that --
11
              MS. MOORE:
                         That's fine.
12
13
              THE COURT: -- offline. But for the opening
     statements, that's the -- you are limited to those two numbers.
14
              MS. MOORE:
                          That's fine, Your Honor. I understand.
15
16
     We will go back and look at that.
17
          I would ask that the Court allow us to include Number 9
     which is about the acquisition as well.
18
              THE COURT:
19
                          No.
20
              MS. MOORE:
                          Okay.
              MS. RUBENSTEIN: Your Honor, just two more things, and
21
     then we can move on from this topic.
22
23
          Number 4, Average sales of Roundup per year, this is
    not -- this is not a number that Mr. Mills includes in his
24
     report. And, in fact, he testified in his deposition that he
25
```

```
has no idea what percentage of any of the numbers in his report
 1
     are attributable to Roundup.
 2
                         Okay. But is there any -- is there a
              THE COURT:
 3
     dispute about that? I assume there is some evidence about that
 4
 5
     in the record.
              MS. MOORE: Mr. Grant, the former CEO, testified in
 6
    his deposition on page 26 that it was about $2 billion a year
 7
     in Roundup sales.
 8
                          Okay. Well, and that -- you know, so
              THE COURT:
 9
     maybe you need to get that in through Grant.
10
11
              MS. MOORE:
                         We designated that, Your Honor.
                                                           They have
     objected to it.
12
                         Okay. All right. So that's the --
13
              THE COURT:
     anything else on this issue?
14
15
              MS. MOORE: I don't think so, Your Honor. We will go
16
    back and re-visit those other points.
17
          And then just to recap -- and we don't have to do this
     necessarily before opening -- but on the Request For
18
     Admissions, I went back and we extracted the ones that we would
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Admissions, I went back and we extracted the ones that we would like to use at this point in Phase Two. I highlighted what we would read directly, which is the question and then their admission. And I provided a copy of that to Defense counsel.

I have a copy for the Court as well.

THE COURT: Okay. Any -- is there any discussion to

be had on this?

25

```
Your Honor, we -- I -- there is
 1
              MR. STEKLOFF:
     discussion because I haven't had a chance to meet and confer
 2
     with Ms. Moore about this yet, but you can see -- at least in
 3
     the responses -- that they have only highlighted the words
 4
 5
     "admit," except in Request Number 5 and 7 on the third page of
     this document.
 6
          I will also note that we -- when we received this last
 7
     night, we went and checked and this document is incomplete in
 8
     the sense that to several of the responses, portions of
 9
10
     Monsanto's response have been -- have been taken out of this
11
     document without --
                         Even though I didn't --
12
              THE COURT:
                         That is not intentional.
13
              MS. MOORE:
              THE COURT: Even though I didn't strike those
14
15
     portions?
16
              MR. STEKLOFF:
                             Yes.
17
              THE COURT:
                          Okay.
18
              MR. STEKLOFF: So I think that we have a -- I mean, it
19
     is our position, Your Honor -- and I'm happy to go through this
20
     with Ms. Moore and try to agree on language -- but that the
21
     full statements need to be read, including the full statements
22
     as, you know, pursuant to Your Honor's rulings but what the
23
     full admission was.
          So that doesn't mean in every -- we may be able to go
24
     through this and take out in some instances Monsanto otherwise
25
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1	denies this request, for example, but this is very incomplete.
2	So I let Ms. Moore know that we didn't think in opening
3	pursuant to what we discussed yesterday, I think based on the
4	slides that Ms. Wagstaff planned on using the approximately
5	four slides I'm fine with that in opening, but I don't think
6	these should be read to the jury today until we have had a
7	further chance to
8	MS. MOORE: We can meet and confer on a break. I
9	think we are fine on opening statements. But we can meet and
10	confer and make sure we are on the same page as to what can be
11	read.
12	MR. STEKLOFF: But as a general rule, I think the rule
13	should be that they have to read the admission as provided and
14	then to the extent they challenged part of our admission
15	THE COURT: Well, why doesn't why can't the
16	admissions come in as an exhibit, and each side can emphasize
17	whatever aspects of the admissions they want to emphasize?
18	MS. MOORE: I do think we have the right to read that
19	into the record, Your Honor. But we can meet and confer on
20	that.
21	THE COURT: If you read it in the record if you are
22	going to stand up and read it in the record, then you read the
23	whole thing.
24	MS. MOORE: All of their objections, Your Honor?
25	THE COURT: Whatever it is that you agree

MS. MOORE: 1 Okay. I mean, I'm quite sure, as we discussed 2 THE COURT: last time, the sort of general boilerplate objections aren't 3 4 going to be read. 5 MS. MOORE: Right. THE COURT: But you might want to read those because 6 it always makes a defendant look -- or a party look bad when 7 they include those boilerplate objections. 8 Okay. We can confer on that, Your Honor. MS. MOORE: 9 Thank you. 10 11 THE COURT: Okay. So a couple things. I still need to go back and flip through some of the new opening slides that 12 were given to me. So I will go do that very guickly right now. 13 On the issue of time, the Plaintiff's requested more time. 14 15 I'm somewhat reluctant at this point to give the Plaintiff's more time because I have been going through the deposition 16 17 designations and the -- what has been designated is very 18 repetitive. It is your choice how to use your time. But a lot 19 of that stuff -- I'm not excluding it as cumulative under 20 Rule 403, but a lot of that stuff is very repetitive; and so I'm quite reluctant to give you more time, given the way you 21 22 are proposing to use it. I'm happy to hear further discussion 23 about that later.

MS. MOORE: Thank you, Your Honor.

24

25

THE COURT: And sort of take a look at how the

```
evidence is coming in and how efficiently the jury's time is
 1
    being used or how inefficiently the jury's time is being used.
 2
     But as of this time you should continue to plan on having the
 3
     amount of time that you were originally given.
 4
 5
              MS. MOORE:
                          I understand, Your Honor. I will say that
 6
     we heeded your advice in the Martens pretrial order. We went
 7
    back and we cut some more out of Martens. I'm not sure how
     much that reduced it by. And that's our plan with all the
 8
     depositions, as we are continuing to streamline it, because
 9
10
     obviously we want to be efficient with the jury's time; and we
11
     also want to get our evidence in.
              THE COURT:
12
                          Okay.
              MS. MOORE:
                         So we will --
13
              THE COURT: You know, the designations you are
14
15
     emailing them to us, but you need to file them on the docket
16
     also.
                         We will do so.
17
              MS. MOORE:
              THE COURT: And then I believe that -- I just
18
19
     completed Farmer, but --
20
                          I saw that.
              MS. MOORE:
              THE COURT: -- I believe you-all filed some new Farmer
21
     designations late last night.
22
                          I think the Defense did.
23
              MS. MOORE:
                          So you need to -- if you can please submit
24
              THE COURT:
25
     whichever -- I don't think you made clear in your filings what
```

```
is new and what is not new. So if you can just file something
 1
     that makes clear what I need to still review. Does that make
 2
     sense?
 3
              MR. STEKLOFF: We can provide you a color-coded copy
 4
 5
     of that.
 6
              THE COURT: All right. So I will be back in five
    minutes.
 7
              MS. WAGSTAFF: One note for you as you go through
 8
     this, on the Heydens' 2015 e-mail we discussed yesterday, the
 9
10
     version you have doesn't have the IARC parenthetical, but I
     have whited that out, just so when you look at --
11
                          Okay. Thank you.
12
              THE COURT:
                          Court is in recess.
13
              THE CLERK:
                       (Recess taken at 8:32 a.m.)
14
15
                    (Proceedings resumed at 8:37 a.m.)
16
          (Proceedings were heard out of presence of the jury:)
17
              THE COURT: One other brief comment. I mean, from
18
     looking at the slides -- I don't know if you are planning on
19
     using them all, but this seems like a two-hour opening
20
     statement. You know, that is something that we will -- the
     length of the opening statement and the length of the previous
21
     closing argument and the length of the initial opening
22
23
     statement, all of those things, will be taken into account when
     deciding whether -- whether additional time should be given.
24
25
          So anyway, with that, Kristen, go ahead and bring in the
```

jury. And feel free to get set up if you want.

MS. WAGSTAFF: I need to get my -- I was waiting from edits from you, so I need to give it to my PowerPoint tech. It will just take me a moment.

(A brief pause was had.)

(Proceedings were heard in the presence of the jury:)

THE COURT: Okay. Welcome back, everyone.

As I mentioned to you yesterday afternoon, we will begin Phase Two of the trial. And Phase Two is the final phase of the trial. We are pretty much on schedule. We may need to do a little bit of tweaking to our schedule to make sure that we -- that we kind of stick to the plan that we outlined at the beginning of trial, but we are pretty much on schedule.

All of the same instructions that I read to you at the beginning of trial and gave to you at the end of trial about what is evidence, burden of proof, how to think about witness credibility, all of those things continue to apply. You will get another written copy set of all the instructions, including those ones, when you begin your deliberations on Phase Two.

But for now, we will simply proceed with opening statements from the lawyers on Phase Two, and then we will go to receiving additional evidence.

And, again, the topic of Phase Two is, is Monsanto liable for the -- for Mr. Hardeman's injury, and that is something that Monsanto denies. And then the question is if Monsanto is

liable for Mr. Hardeman's injury, what should the damages be,
if any. And that will be -- that will be for your

consideration as well. So all of that will be considered

together in Phase Two.

And we are ready to proceed with opening statements.

## **OPENING STATEMENT**

MS. WAGSTAFF: Good morning. So I know this has been a long two weeks, and on behalf of Mr. Hardeman and my entire team, we thank you very much for the time and effort you spent during Phase One.

So we are here today to talk about the beginning of Phase Two, and I will tell you that Phase Two will be similar in structure to Phase One. You will hear opening statement from myself. You will hear opening statement from Monsanto. You will hear evidence from Plaintiff. You will hear evidence from Monsanto. Closing argument. And then you-all will deliberate again.

I would like to remember why we are here. In Phase One we heard a lot about the science, a lot about Monsanto's -- whether or not Roundup was carcinogenic, but don't forget we are here today now in Phase Two to talk about Mr. Hardeman and the fact that Mr. Hardeman got cancer from Monsanto's product.

So the questions in Phase Two are pretty simple: What did Monsanto know and when? How did Monsanto influence the science over the last 40 years? Did Monsanto fail to warn Mr. Hardeman

of the dangers? Was Roundup as safe as expected? What are Mr. Hardeman's damages? And should Monsanto be punished?

What Monsanto did not do over the last 40 years -- you have heard a lot about the three pillars of science. You have heard about epidemiology. You have heard about the mechanistic data, and you have heard about the animal studies. To this day Monsanto has never done an epidemiology study.

With respect to animal studies, you heard a little bit about the *Knezevich & Hogan* study. And I will touch on that briefly today, but they have vehemently refused to repeat that study. And they have admitted that they have never, to this day, conducted any long-term rodent carcinogenicity test on the formulated product Roundup.

You heard a little bit about the Parry study with respect to the mechanistic data. They have never completed the recommendations that Mr. Parry recommended that they do in 1999. And they have admitted that they have never conducted an in vivo human genotox studies or in vivo oxidative stress studies with respect to genotoxicity. And you are going to hear a lot of evidence over the next week about how Monsanto has influenced and manipulated the science through its relationships with regulatory officials and through ghostwriting.

We are going to bring you some of Monsanto's current and former decision makers. We are bringing you high-level

employees that are going to help tell the story.

Once again, just like in Phase One, we don't have subpoena power to bring anyone here, so you are going to hear mostly by video testimony from their employees. You are going to hear from their former CEO, Hugh Grant. You are going to hear a lot from Dr. Heydens, who is in charge of product safety. You are going to hear from Mark Martens, who is a toxicologist; Dr. William Reeves, who is a designated spokesman for Monsanto. You are going to hear a lot from Donna Farmer, who was their head toxicologist; and David Saltmiras.

I don't know if Monsanto is going to bring anyone live, but these are the people we are going to bring to you.

This is a slide from my closing last week, talking about how -- you remember Dr. Weisenburger told you last week that a study showed that Roundup is a hundred times more toxic than glyphosate. You learned glyphosate is not the same thing as Roundup. And Monsanto knew this.

In 1999 Donna Farmer, who was one of their head toxicologists -- she is a decision maker at Monsanto -- wrote in an e-mail, I will not support doing any studies on glyphosate formulations or other surfactant ingredients at this time with the limited information we have on this situation.

You are going to learn that this was almost 20 years after the product has been on the market.

You are going to hear testimony that she actually stated

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in 2003 -- which now we are getting close to 30 years after it has been on the market -- You cannot say that Roundup is not a carcinogen. We have not done the necessary testing on the formulations to make that statement. One of Monsanto's decision makers in 2003. It is about 15 years after Mr. Hardeman started spraying the product.

This is a slide from my closing too. You remember how much he used, and he used it for 26 years. You heard his testimony twice. So you know that he started using it in May and stopped in November, three to four hours every time.

Monsanto has admitted that it never warned any customer that Roundup could cause cancer. To this day, it has never warned any customer that Roundup could cause cancer.

You are going to hear testimony again from Mr. Hardeman. He is going to take the stand again. You are going to hear testimony from his wife, Mary. And you are going to hear Mr. Hardeman say that he read the label; and if it had warned of cancer, he would not have used it. He would not have used it.

You are going to hear testimony on Roundup's design. And you are going to hear that the approval of Roundup with the EPA was based on one study, back in the late '70s, one study. And you are going to hear that that study was tested by a laboratory called Industrial Bio-Test Laboratories, which we call IBT Labs. And you are going to hear that in the late '70s

Monsanto learned that the results were invalid, and that IBT asked them to redo those results. Monsanto re-did those results, and this was -- this was their -- IBT's letter. There are serious deficiencies in IBT tests conducted to support the registration of numerous pesticides.

Monsanto agrees to redo the one study that Roundup's registration was built on. And this was the *Knezevich & Hogan* study. You remember this from Phase One. This was the study in 1983, right around the time Mr. Hardeman started spraying, right. He started spraying in 1986. Monsanto knows that their registration is based on an invalid study. So they redo it. They learn dose related. They hear that in 1983, dose response.

This is when, as you will recall, Monsanto's employees stated, Short of a new study or finding tumors in the control groups, what can we do to get this thing off of Category C?

You remember that from Phase One.

And so you remember they hired a man, Dr. Kuschner, who changed the result by finding a magic tumor. You remember that from Phase One. And you see what it does with the study results. And it makes it no longer highly significant. This is the one study that they are redoing that was invalid before.

So they turn that into the EPA. You remember that the EPA doesn't agree with them and asks them to redo it. The EPA re-cuts the slides. Doesn't find the magic tumor that

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Dr. Kuschner found. Monsanto vehemently argues for the lack of justification for a repeat mouse study. Monsanto refuses, you will hear, to redo the mouse study, the one study that this registration was built on.

This is Monsanto's representative last month admitting they never did that rat study again. This is actually in January, so two months ago.

And why? Look at every mouse study that has happened since the *Knezevich & Hogan* study. The *Knezevich & Hogan* study on the left is the study that the EPA was asking Monsanto to redo. Every mouse study that has been repeated since that time has found a malignant lymphoma, the same type of cancer that Mr. Hardeman has.

Monsanto has admitted that it has never conducted a long-term carcinogenicity study on any surfactant used in Roundup.

Monsanto admits it has never conducted a long-term animal carcinogenicity study on Roundup, the formulated product, never to this day.

And Monsanto admits that it did not conduct any further long-term carcinogenicity animal studies on glyphosate since 1991.

Monsanto further admits there is no law prohibiting them from doing that. They get up here and they say the EPA requires -- doesn't require it. They admitted there is no law

prohibiting them from testing their product.

And their response January 23rd, 2019 -- almost 60 days ago -- We have not done that study because we have never had any information in front of us indicating we would need to do that study. That is their position 60 days ago: Never had any information in front of us indicating we would need to do that study.

You remember Dr. James Parry. You remember that Monsanto hired Dr. Parry -- moving onto the genotox. You remember Monsanto hired Dr. Parry in 1999 to analyze the genotox studies. And you remember that Monsanto -- that Dr. Parry came back that there was strong evidence that glyphosate may be genotoxic. That's what he told Monsanto in 1999.

Prior to hiring Dr. Parry, Monsanto internally was unsure if he was the right guy. You will hear evidence that says, Well, Dr. Parry is a recognized genotox expert. What is not known is how he views some of the nonstandard endpoints.

So what they were going to do -- and what the evidence will show -- is that they gave Dr. Parry a subset of documents. And based on what he found, based on his critique of the genotox papers, a decision would then be made as to expanding or terminating his involvement. It was Monsanto's choice. And then they brought up a guy -- talking to a guy named Dr. Gary Williams -- footnote that Williams for a minute -- back in 1999.

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Meanwhile, while they are talking about getting Dr. Parry to review some genotox papers, meanwhile in the same e-mail, they are developing a "positive" press release -- positive being in quotes -- talking about Several genotoxicity studies have been conducted on glyphosate, the surfactants in glyphosate formulations and other closely related surfactants.

Skip forward a sentence: None of these studies have shown any adverse findings. Based on all of these results, we are confident that glyphosate-herbicide products are not genotoxic and, therefore, do not present immunogenic or carcinogenic risk to human and animals.

This press release is being drafted at the exact same time they are asking a guy to review these articles for them, a guy who comes back and tells them that glyphosate is genotoxic.

So Dr. Parry submitted his first report in 1999, February of 1999. And he determined that glyphosate was both -- was capable of being both genotoxic in vivo and in vitro through oxidative damage. And you will learn that that didn't make it into the press release.

Then they decide that they want to give Dr. Parry more information to change his mind; to move him from his position. So they say in order to move Dr. Parry from his position, we need to provide him with more information. So they give him more information. And you will learn that depending on his -- how he comes out, they might want to use him as a spokesperson.

They are confident they can change his position.

However, in the second paper Dr. Parry concludes that glyphosate is a potential clastogenic in vitro, and that means it is an agent that can induce mutation by disrupting or damaging chromosomes. So he didn't change his position. This was in August of 1999.

And Monsanto's reaction -- these are some of the decision makers up top -- Dr. Bill Heydens and Dr. Donna Farmer: We simply aren't going to do the studies that Parry suggests.

And might I back up for one moment. Dr. Parry suggested eight or nine studies that should be done on both Roundup and glyphosate.

Shortly thereafter, within a few weeks, you have Dr. Heydens and Dr. Farmer e-mailing. Let's take a step back and look what we are really trying to achieve here. This is September of -- September 16th of 1999. We want to find and develop someone who is comfortable with the genotox profile of glyphosate and Roundup, and who can be influential with regulators and scientific outreach operations when genotox issues arise. My read is that Parry is not currently such a person, and it would take quite sometime and money sign, money sign, money sign/studies to get him there. We simply aren't going to do the studies that Parry suggests.

Mark, do you think that Parry can become a strong advocate without doing this work Parry. If not, we should seriously --

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underlined, italic, bolded -- start looking for one or more other individuals to work with. Even if we think we can eventually bring Parry around closer to where we need him, we should be currently looking for a second back up genotox supporter. We have not made much progress, and we are currently very vulnerable in this area.

September of 1999. Simply not going to do the studies Parry suggests after admitting they are vulnerable in that area.

You are going to hear from Dr. Larry Kier who is -- who was a Monsanto employee, is now a consultant. We are going to bring him by video deposition.

Monsanto admits that it has no record of submitting either of Dr. Parry's reports to the EPA.

So now I told you to footnote that portion about Williams. So in that same e-mail where they are talking about bringing Dr. Parry on Dr. Gary Williams is mentioned. And so what happens? Instead of doing the 1999 studies that Dr. Parry suggests, you are going to learn that what happens is that Monsanto starts to ghostwrite an article, the Williams paper. This is in late '99 -- late 1999.

And what ghostwriting is -- you will learn, is ghostwriting is when a company writes a favorable publication and pays a prestigious author to put their name on it. So instead of doing the studies and the testing that Dr. Parry

suggested, you are going to hear evidence that Dr. Bill
Heydens, one of Monsanto's decision makers, ghostwrote what we
now call the *Williams* 2000 article. It was published -- it was
received December 6, 1999, but it was actually published in
2000, so it is called the *Williams* 2000 article.

And there is internal e-mails that you will see because we are going to bring Dr. Heydens by video deposition. You will see where Dr. Heydens is writing to Dr. Farmer saying, And don't you think that I would actually leave the final editing to him unsupervised?

And you are going to hear the story of how that was ghostwritten. And if you don't believe us, you will see that in a few years later, recently, when discussing a different project, Dr. Heydens suggests a less expensive, more palatable approach might be to involve experts only for the areas of contention; epidemiology and possibly MOA, which is Mechanism Of Action. And we ghostwrite the exposure tox and genotox sections.

Fast forward, We would be keeping the cost down by doing the writing and they would just edit and sign their names, so to speak. Recall this is how we handled Williams, Kroes and Munro 2000. The Williams article, Williams 2000, I was just mentioning.

This is e-mails right around the time Williams came out.

And they are discussing that the Williams 2000 article, the

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article that they ghostwrote, is the most exhaustive and detailed scientific assessment ever written on glyphosate. was due to the perseverance, hard work and dedication of the following group of folks. They deserve significant credit for their stewardship result here since the human health publication on Roundup herbicide and its companion publication will undoubtedly be regarded as the, in quotes, reference on Roundup and glyphosate safety. Our plan is to now utilize it both in the defense of Roundup and in our ability to competitively differentiate ourselves from generics.

You will notice the publication itself refers specifically to the brand of Roundup. Then it talks about how this was put together through infinite edits and reviews.

The e-mail goes on -- that you will see -- it says: Both documents meant to be utilized by the next tier of third-party scientists for continued Roundup FTO, Freedom To Operate.

You will hear evidence that this was a paper designed to defend Monsanto's right to sell Roundup.

You will hear that it goes on: Now, the hard work by the public affairs begins in utilizing these reference documents to the fullest.

This is where the public affairs group -- the public affairs strategy begins to kick in globally. They are referencing the Williams. This is Hugh Grant, the former CEO of Monsanto. He was the CEO of Monsanto until Bayer bought

1 | Monsanto last summer. And he says: This is very good work.

Well done to the team. Please keep me in the loop as you will

build the PR info to go with it. Thanks again, Hugh.

He ratifies the ghostwriting.

You will see later -- this is Dr. David Saltmiras, who is a toxicologist. He is giving a presentation ten years later in 2010. He is talking about the *Williams* 2000, and he is saying it is an invaluable asset. It allows Monsanto to respond to agencies, scientific affairs rebuttals, regulator reviews.

When he talks more about the Williams 2000 article, he says Williams et al. 2000 has served us well in toxicology over the last decade.

And why is that important? You guys heard about some of the epidemiology articles. You heard about *De Roos* 2003. It was one of the articles that Dr. Weisenburger published. Why ghostwriting is important, you heard us ask Dr. Weisenburger about this. When they were talking about -- when a new article is built and they build in the previous scientific studies, we asked him about this. This was in the *De Roos* 2003 article.

Few suggestive findings, some impetus for further investigation into the potential health effects of glyphosate, even though one review concluded that the active ingredient is noncarcinogenic and non-genotoxic. Footnote 50.

Well, if you look at Footnote 50, it is the Williams article. So these articles get all intertwined in the science.

You will learn that the ghostwriting has had a systematic effect. You will learn also that Monsanto has a pattern of ghostwriting.

You are going to hear from Donna Farmer. We asked her questions about her role in the Mink 2008 epidemiology review. She is going to tell you she just offered suggested evidence. And you are going to see that she added statements such as It concluded that glyphosate is unlikely to pose a carcinogenic risk to humans.

You are going to hear that the original authors didn't have that. Donna Farmer from Monsanto adds that into the article in 2008, and she cites the Williams 2000 article. You are going to hear also that Donna Farmer adds Glyphosate is widely considered by regulatory authorities and scientific bodies to have no carcinogenic potential. You are going to hear she added that in. And her name is nowhere on the paper. She is not a listed author.

And then you are going to hear a few years later, with the Journal of Toxicology and Environmental Health, the lead author, Amy Williams, called Donna Farmer's contribution significant. But we have drafts where she is redlined out as an author, and her name isn't on the final paper. You are going to hear this testimony from Donna Farmer.

And then you remember the *McDuffie* paper. This was in 2001. And this was a statistically significant doubling of the

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risk paper that showed a dose response. This was an important paper for a company that makes this important.

You are going to hear that Monsanto decision makers are happy that the *McDuffie* paper is harder to find. When you have a paper online, you are going to hear -- and I think

Dr. Weisenburger talked about it -- there is an abstract that comes out. So people search in the abstract.

This is an e-mail chain for Dr. John Acquavella -- who was Monsanto's one and only ever epidemiologist -- and Donna

Farmer. This was back when the McDuffie article comes out.

Dr. Acquavella says, The McDuffie article appeared in the

November issue of the Journal of Cancer Epidemiology Biomarkers and Prevention. Unlike the abstract presented at the

International Society for Environmental Epidemiology meeting in August of 1999, glyphosate is no longer mentioned as a risk factor in the abstract. I will have to get the article and see what it says in the small print.

Donna Farmer, I don't know yet what it says in the small print, in quotes; but the fact that glyphosate is no longer mentioned in the abstract is a huge step forward. It removes it from being picked up by abstract searches.

They didn't want people to find these results. Yes, they were still available if you dug around, but they were harder to find and Monsanto was happy. This was about the McDuffie abstract.

Then you will hear a few months later where she is -Dr. Heydens is saying So if I understand the situation
correctly, even though reference to glyphosate wasn't removed
entirely, there was a substantial reduction in emphasis,
including but not limited to removal in the abstract.

Right. It's a good result but not everything we wanted. The invalid result could be cited as a second glyphosate NHL finding. However, it will not be picked up by most of the usual suspects because it is not mentioned in the abstract. And Monsanto was happy about that. This was a finding of a doubling of the risk dose response.

You remember the *Hardell* studies. They were done in 1999 and then again in 2002 when they added people to them. You will remember I just -- I put down here that the first *Hardell* study found a doubling of the risk, and five times the risk. The second one in 2002 found a statistically significant tripling of the risk. You remember that?

So when De Roos 2003 came out, you will learn that instead of testing the product, Monsanto said, I'm afraid the De Roos 2003 could add more fuel to the fire for Hardell. You will learn that Monsanto knew that there was a fire swelling from the Hardell results. And instead of testing, you will hear that We are assembling a panel of experts to work on this.

Now we will get to epidemiology, which you heard in Phase One from Monsanto's lawyers; that Monsanto believes

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epidemiology is enough. You will see that Monsanto has never conducted an epidemiology study to this day. Despite all the money they have made on Roundup over the years, Monsanto has admitted, as we stand here in 2019, it has never conducted an epidemiology study to study the association between Roundup and NHL.

And why is that? Because two months ago Monsanto, through its designated spokesman, told Mr. Hardeman that there is no evidence that glyphosate or glyphosate-based formulations cause cancer under the conditions that he was exposed to.

So when I sit down and Monsanto's lawyers get up, you are going to hear a lot about EPA, EPA, EPA. It was approved by the EPA. It was on the market because the EPA allowed it.

But I just want you to remember a few things you will learn from the evidence we will present to you. The original EPA was built on an invalid study which was never repeated. The EPA does not test anything. The EPA does not test Roundup. The EPA does not test glyphosate. The EPA relies solely on information provided by the corporations.

You are going to learn that Monsanto had a cozy relationship with a couple of people, long-term EPA employees. You are going to hear testimony about that. You are also going to hear that the EPA did not follow its own guidelines. You are going to hear testimony about that as well.

So I want to bring it back to Mr. Hardeman as we close --

and you are going to hear how the cancer has affected his life every day. You are going to hear him testify how he wakes up every single morning wondering if this lump is back. You are going to hear the stress and anxiety that it causes on him and his family.

And we are going to bring in Dr. Nabhan, who is a board-certified oncologist, who is going to testify about the medical condition of Mr. Hardeman. We are probably going to bring him on Friday. You will hear from him on Friday.

And then if you decide that damages should be awarded, there are two different kinds of damages. There are compensatory damages, which are meant to compensate Mr. Hardeman. And within compensatory damages you will have economic damages which the parties have stipulated to -- makes it easy for you -- are just over \$200,000 for Mr. Hardeman, his medical bills.

And then you guys will decide, if you determine it is appropriate, the noneconomic damages. And we will give you evidence and present testimony to help you make that decision and use the categories that you will consider.

And next you will decide whether or not Monsanto should be punished. And this last slide went by really fast -- okay.

Good it stopped. But what it says right there is Monsanto's current net worth -- I'm sorry, Bayer Corporation acquired

Monsanto last summer for \$63 billion. That's what it was

bought for last year. The net worth, when it purchased it, was 6 -- was \$7.8 billion. And the cash on hand was \$2.4 billion last summer. And then it was purchased for \$63 billion.

So you are allowed to take all of that information into consideration when you think about punitive damages and punishing Monsanto for its conduct. And I know you will take Phase Two just as seriously as you took Phase One, and I really appreciate you from the bottom of all of our hearts.

Thank you very much.

THE COURT: Okay. It's possible that we are having a little difficulty with the screens and putting up slides, so why don't you go ahead and proceed. But if there are technical difficulties, we will take a quick break.

MR. STEKLOFF: Thank you, Your Honor.

# OPENING STATEMENT

MR. STEKLOFF: Good morning, everyone.

As we move into Phase Two, what I would suggest is that you demand that both sides present to you the full story. So you have heard the phrase "there is two sides to every story." And you shouldn't ignore how stories complete themselves, what happens along the way. You should demand all of the evidence, and even just now you had cherrypicked evidence. You had pieces of stories. You had pieces of e-mails that did not tell you the full story about what has happened.

The question that you have to answer in Phase Two boils

down to this: Based on the science at the time, did Monsanto act responsibly in not including a warning about NHL on Roundup? There is no dispute that there is no warning about NHL on Roundup, and we are focused here on the time period 1986 to 2012. That will be familiar to you. That's the time period when Mr. Hardeman used Roundup. And you have to judge whether or not Monsanto acted responsibly during that time period based on the science, based on what it did, based on what it knew, and also based on what the rest of the world was saying about Roundup during that time period.

And so you heard at the end that part of Phase Two will be about Mr. Hardeman and what Mr. Hardeman suffered. And what I really want to make clear is that that's not in dispute. That is not what we are going to be focusing your attention on on behalf of Monsanto in Phase Two.

You will recall he used Roundup between 1986 and 2012. You will hear that while he was unfortunately diagnosed with non-Hodgkin's lymphoma in 2015, he went through chemotherapy and has been in remission since July 2015. And you will see some testimony again from Dr. Ye, his treating oncologist -- who is still his treating oncologist today -- who will say he is optimistic about Mr. Hardeman's future.

But we are not going to stand up here and tell you that chemotherapy is not awful; that being diagnosed with non-Hodgkin's lymphoma is not awful. Of course, those things

are awful; and that is not what Phase Two is about. I just want to make that clear right now.

So what this case is about, again, is what the science at the time told the world and told Monsanto about Roundup and whether Roundup -- whether Monsanto acted responsibly.

Monsanto -- Roundup you will recall entered the market in 1975. And you are going to hear me this morning talk about the EPA and other regulators because it is relevant to Phase Two. It has been approved multiple times -- up through 2012, the time period we are focusing on -- by the EPA, by regulators around the world who have looked at the science. They have looked at all the science and some of the science you have looked at, but they have looked at more; and they have made a determination about Roundup, whether it should be sold and whether it needs a warning about non-Hodgkin's lymphoma.

And it is the most studied herbicide in the world by

Monsanto, who ran its own tests; by other manufacturers, who

use glyphosate and make their own products; by independent

scientists who conducted some of the studies you heard in

Phase One. This is the most studied herbicide in the world,

and regulators around the world repeatedly since 2012 have said

that it should be sold and it can be sold without a warning

about non-Hodgkin's lymphoma.

Now I want to make clear Monsanto is not hiding behind the EPA. Monsanto takes responsibility for its product. Monsanto

takes responsibility for its label, but what you heard this morning suggested that Monsanto has done no tests, almost, about Roundup. You saw all these slides about certain in particular tests that have not occurred, but I want to make clear Monsanto has conducted decades of testing on glyphosate and on Roundup.

It has run multiple types of tests, something called -you are going to hear of this from Dr. Farmer -- acute
toxicology, genotoxicity, which you heard -- those are the
cells studies that you heard about in Phase One. It has run
its own genotoxicity studies. It has done its own animal
studies. It has tested glyphosate by itself. It has tested
the surfactants. It wasn't even required to do that by the
EPA, and it still tested them nonetheless. And it has tested
the formulated product, so the combination of glyphosate and
surfactants as it is used in Roundup by people whether they
spraying on their yard or otherwise. It has tested all of
those things, and those tests have been submitted to the EPA
and other regulators.

So when you heard this morning all these tests that weren't run, the full story is that there were numerous tests -- dozens and dozens and dozens of tests of Roundup -- surfactants, glyphosate and the formulated product. And you are going to see when Dr. Farmer testifies that she even collected some of that evidence.

#### SIDEBAR

And, Ms. Melen, may I briefly have the ELMO, please? 1 So these are two of the exhibits you will see. And to be 2 clear, these are not the only tests that Monsanto conducted. 3 But Dr. Farmer put together a compilation of studies that have 4 been done. These are genotoxicity studies by Monsanto on the 5 formulated products. All of these were done by Monsanto on 6 7 that combination product, the glyphosate with the surfactants, to see if it is genotoxic. 8 And you can see here years are listed -- and they are 9 small, but you will have this back with you -- 1992, 1992, 10 11 1998, 1999, 1999. It goes on and on, pages of this. studies in the '90s. Studies into the 2000s, 2006, 2008. 12 didn't stop studying Roundup. They didn't stop studying the 13 formulation. 2008, 2008, 2009, and it goes into 2010, 2011, 14 15 2012, the time period that we're --16 MS. WAGSTAFF: Your Honor, can we have a sidebar? 17 THE COURT: Sure. (The following proceedings were heard at the sidebar:) 18 MS. WAGSTAFF: Your Honor, this testimony and this 19 20 exhibit was designated last night. It hasn't been ruled on. 21 And we have strenuously objected to its use. It was an affirmative designation through one of their depositions on a 22 23 counter that we think far exceeds the scope of anything we have designated. We think Donna Farmer is an unavailable witness. 24

And it has not been ruled on by Your Honor, and it is on our

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#### SIDEBAR

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objection --
 1
              THE COURT: So this is part of the Farmer testimony
 2
     that was designated -- the additional designation from last
 3
     night?
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 5
              MS. MOORE:
                          That's my understanding. It was part of
     their affirmative designations -- designations from last night.
 6
              MR. STEKLOFF: It's my understanding that it was
 7
    previously designated. And I will also say -- and this is not
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     to hold the Court responsible -- but I did make in the e-mail
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10
     that I sent to the Court, I let the Court know that I would be
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     using these two documents. I want Counsel to know that I
     wasn't trying to do something that the Court was unaware of.
12
              THE COURT: Yes, and I didn't --
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              MR. STEKLOFF: I don't know why this would be
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15
     inadmissible either. They are attacking --
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              THE COURT: I'm going to allow you to use this in
17
     opening statements. I will remind the jury that what lawyers
18
     say is not evidence, but I'm going to allow you to use this.
              MS. WAGSTAFF: I know you didn't mean to; but when you
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20
     were talking, you said that the regulations have been approved
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     many times since 2012. I'm sure it was just a --
22
              MR. STEKLOFF: I'm trying --
23
              MS. WAGSTAFF: -- slip, if you can be more careful.
              THE COURT: I caught that too. I'm not going to rule
24
     that that opens the door to anything. It was clear it was a
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OPENING STATEMENT / STEKLOFF slip of the tongue. 1 MR. STEKLOFF: I didn't know I did that. I apologize. 2 MS. WAGSTAFF: I tried to get his attention. 3 MS. MOORE: Your Honor, you will give that curative 4 5 though about lawyers' statements to the jury? THE COURT: Yeah. 6 7 MS. MOORE: Thank you. (The following proceedings were heard in open court:) 8 THE COURT: Okay. So that objection is overruled, but 9 I will remind the jury that statements -- you have heard this 10 11 many times -- but statements by lawyers are not evidence, and the -- what the lawyers say in their opening statements and 12 their closing arguments are designed to help you understand the 13 evidence, but it is the actual evidence that comes in that 14 15 matters and your interpretation of that evidence. 16 You can proceed. 17 MR. STEKLOFF: So returning to this chart. I showed you the dates. It goes from 1992, continues here 18 through 2009. There were additional studies of genotoxicity 19 20 conducted by Monsanto on the formulated product during this 21 entire time period. They didn't stop testing. They tested during this entire time period, from the '90s through 2012. 22

And then in this chart that I expect that you will receive in evidence they -- Dr. Farmer, who is going to testify to you about this, listed the specific title, the specific tests that

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they ran. She listed the organism that was tested, the assay that was used -- that is the type of test they are doing when they put the cells -- when they are testing these cells in a petri dish -- she described the product.

So you can see here 31 percent glyphosate because, again, this was the formulated product; 40 percent glyphosate; 72 percent glyphosate assay equivalent. These are all formulations using glyphosate and surfactants to see if it is genotoxic.

And you can see the results of the tests that Monsanto ran and Monsanto provided to EPA. Negative. Negative. Negative. Negative. Negative. And you will have this -- these results. It goes negative all the way through, all the way through in Monsanto's tests conducted by scientists, toxicologists and scientists. The results were not genotoxic. And it wasn't just genotoxic studies on the formulation.

Again, there is this suggestion that Monsanto is somehow failing in its tests. Here is a chart that Dr. Farmer put together of genotoxicity studies on just the surfactants because even this morning you were shown a slide that somehow the surfactants make it a hundred times more dangerous, remember, from Dr. Weisenburger. Well, here are all the tests that Monsanto ran just on surfactants to see if surfactants were genotoxic, and they were not required to do that by the EPA. They were required to run genotoxic tests on glyphosate,

and those occurred as well; but they ran tests on surfactants to see if they were genotoxic. And it is the same thing in this chart.

It has the years, 1981 through the '90s into the 2000s, up through 2009. It lists the title of the study, the test organism, the assay and the results. Negative. And you can see again -- you can look at these test results on the surfactants that Monsanto ran, and they are negative.

And, again, there were animal tests run by Monsanto.

There were mice tests, rat tests, different lengths. There were tests run on glyphosate. So Monsanto did take responsibility for testing the product; did provide the results to the EPA and other regulators around the world, and did take responsibility for the safety of glyphosate.

So what was the result? When the EPA looked at those tests, when the EPA looked at other tests, what did the EPA do or not do between 1975 and 2012? It didn't suspend the product. It has the power to do that. It didn't remove the product from the market. It has the power to do that. And it did not require a warning about non-Hodgkin's lymphoma or cancer. The EPA, you will hear, has the power to do all of these things. They did not do it. This is across multiple administrations. This is from 1975 to 2012.

And who works at the EPA? Is it just -- is it just people who are sitting behind a desk who are paper pushers?

Absolutely not. The people who were involved in this evaluation of Roundup and glyphosate for decades included toxicologists, chemists, pathologists, epidemiologists, biologists, and other scientific experts who understand these issues and care about safety.

And you don't have to take it from my slide. This is a 1993 review that you will see that shows all of the different divisions of the EPA in 1993 that conducted this glyphosate reregistration eligibility team. They had a special review and reregistration division. They had a health effects division, a biological and economic analysis division and a pesticides and toxic substances division. And all of these types of doctors, all of these types of experts work across these different divisions within the EPA to analyze the data and analyze the science.

And this is some of the evidence that they were looking at, how the product was being used, the regulatory and labeling history, rat studies, mice -- mouse studies, the exact animal -- types of animal studies that you heard about in Phase One -- and you will hear more about in Phase Two -- genotoxicity studies, the exact types of studies you heard about in Phase One, and you will hear more about in Phase Two -- other issues including residential exposure. So how much exposure people who are using this in -- at their residences are getting. They considered all of this in

evaluating the safety of Roundup and the safety of glyphosate in deciding how to act on it.

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And this is a summary of their process in 1993, right in the middle, seven years after Mr. Hardeman started using Roundup. In their final decision they say they have completed their reregistration eligibility decision on the pesticide active ingredient glyphosate. And they conclude -- they explain the RED -- that is the Reregistration Eliqibility Decision -- is the agency's evaluation of the glyphosate database, its conclusions regarding human and environmental risks associated with the current product uses and its decisions and conditions under which uses and products will be eligible for reregistration. And this is what they concluded -- this is what that group of scientists concluded in 1993 -- the agency has classified glyphosate as a Group E carcinogen, signifies evidence of non-carcinogenicity in humans.

So, again, Monsanto takes responsibility, but Monsanto also interacted with the EPA, and this is what the EPA said to Monsanto: We don't believe that Roundup or glyphosate is carcinogenic. We are not requiring you to take any steps.

And Monsanto's actions were consistent with what the EPA said, but also consistent with what the science showed at that time.

1998, the EPA looked again at the science. The EPA didn't

stop. They didn't stop in 1975. They didn't stop in 1993.

They looked at it again in 1998. You can see that they

specifically were looking at that time at carcinogenicity

studies in rats and in mice. And once again, they confirmed

that this was a Group E pesticide; no evidence for

carcinogenicity in two acceptable species.

It is not just the EPA. It is Europe and other international organizations around the world. Here is what Europe said in 2002, 16 years after Mr. Hardeman started using Roundup. They looked at the long-term toxicity and carcinogenicity of Roundup. They said -- at the bottom you can see -- No evidence of carcinogenicity for glyphosate or something called glyphosate trimesium. No evidence of carcinogenicity.

Those are European regulators. Again, scientists, toxicologists, epidemiologists who are looking at the science to see if glyphosate is carcinogenic.

And it is not just regulators. It is not just government bodies, regulators. It is also other groups of scientists. So you can see here in 2004, the world -- part of the World Health Organization and part of the United Nations, The Food and Agricultural Organization of the United Nations, had a joint meeting to evaluate pesticide residues including glyphosate residues.

And so they were looking at residues in food, but they

were focused on -- based on all of the science -- again, animal studies, cell studies or genotoxicity studies, is glyphosate carcinogenic. And this is their conclusion: The Meeting concluded that glyphosate is unlikely to be genotoxic in view of the absence of carcinogenic potential in animals and the lack of genotoxicity in standard tests. The Meeting concluded that glyphosate is unlikely to pose a carcinogenic risk to humans.

Those are scientists from the World Health Organization, scientists from the United Nations in 2004. And Monsanto -- all of these findings, of course, are being shared with Monsanto during this timeframe.

So what did the regulators say between 1986 and 2012, the time period you are being asked to focus on in Phase Two? The EPA, during that time period, did not say that Roundup caused his non-Hodgkin's lymphoma and no international regulator said Roundup causes non-Hodgkin's lymphoma.

So what did we hear this morning? What did we hear? We heard pieces of evidence, pieces of stories to try to convince you that Monsanto hid the truth or denied the truth or did something wrong. But, again, consider all of the evidence as you listen through the evidence in Phase Two.

You heard about this mouse study in Phase One. And it came up again today with this tumor. Remember the tumor that they said was zero-one-one-three and the line changes? I think

we saw the line go up and down multiple times this morning. But you weren't told, once again, how the story played out.

So you will recall from Phase One, Monsanto submitted a mouse study to the EPA in the 1980s. And the EPA panel that looked at that study, the *Knezevich* study, at first thought that they were going to give a Group C classification. EPA asked for more information, and Monsanto went out and hired an independent consultant, Dr. Kuschner. You heard this evidence.

The EPA then -- and Dr. Kuschner found a tumor in that control group. The EPA looked at the evidence. The EPA asked for another study to be conducted. And what did the EPA say in the end based on the *Knezevich* study and other studies that it was looking at? It said glyphosate is not carcinogenic in 1991.

Here is the study that occurred in 1990 -- the study that Monsanto conducted after that control group tumor was found in the 1980s -- and you can see -- this is the study. It is an unpublished study prepared by Monsanto. It discusses what the study was: A chronic feeding carcinogenicity study was conducted using certain rats fed diets containing glyphosate for two years. The agency concluded that these adenomas -- so there were certain tumors, adenomas that were found -- were not treatment related and glyphosate was not considered to be carcinogenic in this study.

So based on this study, what does the EPA say in 1991?

Glyphosate should be classified as a Group E, evidence of non-carcinogenicity for humans, based on lack of convincing carcinogenicity evidence in adequate studies in two animal species. The EPA said the studies that were conducted were adequate; said that the studies showed that glyphosate was not carcinogenic.

So part of what you heard this morning, just to go back, was, Well, Monsanto admits it has never conducted a two-year study of the formulated product in mice or in rats, a long-term two-year study. Well, you are going to hear why that happened because you will recall from Phase One these animals are fed. They are fed large amounts of whatever is being tested.

And so in the formulated product, you have glyphosate and the surfactants. And the surfactants are like a soapy dish like -- a soapy hand dish liquid-type substance. If you feed that to rats or mice for two years, they are -- even in 30-day studies what Monsanto was seeing was that it was eating away at the rats and the mice, their gastrointestinal lining. You are going to hear Dr. Farmer explain that. And so they determined, along with the EPA, for two years you can't feed them soap. You are not going to get real results. The rats likely aren't even going to survive.

So when you hear all of these things about, well, one type of study wasn't done; one type of study wasn't done, remember all of the different types of study that Monsanto conducted,

both genotoxicity and animal studies; and that the EPA took that data; reviewed it and made determinations like this in Group E evidence of non-carcinogenicity for humans.

Ms. Melen, may I have the ELMO, please, one more time? Thank you.

Here is another document that you were shown briefly in opening this morning. You heard a lot about Dr. Farmer this morning. So you were shown this e-mail, and you were shown this sentence at the bottom of this e-mail: For example, you cannot say that Roundup is not a carcinogen. We have not done the necessary testing on the formulation to make that statement.

But what I want to show you is what Donna Farmer was doing in this e-mail, what she was doing as a responsible scientist. So let me give you some context for this full e-mail; again, the full evidence.

This is an e-mail from Donna Farmer to a series of Monsanto employees dated Saturday, November 22, 2003, 4:46. And these employees are coming to Donna Farmer with some potential Q and As of questions that are being asked by Roundup.

And what does Donna Farmer say? First of all, she explains: Your Q and A was forwarded to Kathy Carr and me for review. I'm the toxicologist responsible for glyphosate and glyphosate-based products worldwide, and Kathy provides

ecotoxicology support for glyphosate globally as well as manages the information resources for glyphosate.

So she is explaining that she is reviewing this Q and A to make sure that it is accurate.

She says: As explanation for some of our edits, in many parts of the world, there is no such formulation being sold called Roundup. In addition, in the U.S., we have some lawn and garden products with the Roundup name on them, but they contain other active ingredients in addition to glyphosate; and they may have different properties from glyphosate. That is why we were using the phrase "Roundup herbicides" or "Roundup agricultural herbicides." When possible, it is preferable to use the name of the product that is actually being used and the data that supports that particular formulation.

That is what you would want from a responsible scientist, to say We want accurate information and use the data that is tied to that specific product.

Then she says: The terms "glyphosate" and "Roundup" cannot be used interchangeably.

And you know why. Glyphosate is the molecule, but -- in Roundup it is combined with surfactants and water and other things, right. So you can't just say glyphosate and Roundup are the same if you are a scientist.

She says: Nor can you use Roundup for all glyphosate-based herbicides anymore.

So then she goes on to say: For example, you cannot say that Roundup is not a carcinogen. We have not done the necessary testing on the formulation to make that statement.

The testing on the formulations are not anywhere near the level of the active ingredient.

So let's pause there. What she is saying is We have tested the formulation, but not as much as just glyphosate by itself. So if you are going to make statements, you need to be careful. You need to make statements about glyphosate if that's what the data supports. You can't just say that it applies automatically to the formulated product of Roundup.

Again, this is exactly what you would expect and demand from a responsible scientist, is to be accurate about what testing has occurred in a Q and A.

So she goes on to say: We can make that statement about glyphosate -- because of all the testing that has been done on glyphosate -- and can infer that there is no reason to believe that Roundup would cause cancer.

Imagine if the evidence showed the opposite. Imagine if she said Based on our glyphosate testing, you can say whatever you want about Roundup. I'm quite confident that would have been the focus of the opening statement today, saying that we were irresponsible and taking data from glyphosate and applying it in a way it shouldn't have been.

But what is actually happening here is a responsible

scientist, a toxicologist at Monsanto, telling people who might not have this information about the science what the science is and what it shows.

And so don't let cherrypicked statements out of documents be used during this trial. Demand that the full context be given.

This is another document you were -- that was discussed during opening. It was this *Williams* article from 2000.

Remember the *Williams* article? They showed you it was cited in other journal articles. They talked about e-mails about Williams. They didn't show you the full part of Williams.

So what is important is that if Monsanto has a role in a study, that role should be disclosed so that anyone who is looking at the study can say, Okay. Maybe I trust Monsanto, maybe I don't. Maybe I put value on this study, maybe I don't. Everyone can make their own determination about how much they value a study.

Well, this is the acknowledgment section from the Williams article in 2000. And the authors of the Williams article -- and you are going to hear testimony about this Williams article -- specifically acknowledge that Monsanto played a role in the study. You weren't shown this. This is what the article itself says in the acknowledgment section so that people can read this and know Monsanto's role.

It says: Second, we thank the toxicologists and other

scientists at Monsanto who made significant contributions to the development of exposure assessments and through many other discussions.

They talk about being given complete access to the toxicological information at the labs at Monsanto in St. Louis. So you know they are working at St. Louis to collect their data.

And then it says, Key personnel at Monsanto who provided scientific support -- and it includes a number of people -- including Dr. Heydens -- who you are going to hear from -- Dr. Farmer -- who you are going to hear from -- and Dr. Carr; and others.

Again, what is the full evidence?

And this happened with the Parry study as well. So you heard about this Parry. You heard about Dr. Parry in Phase I. You heard about it this morning. You're going to hear more about it in Phase II. But what happened with Dr. Parry?

You will recall that Dr. Parry was given four of the genotoxicity studies, and he had raised concerns about the genotoxicity and recommended a series of further tests. That evidence was presented to you in Phase I.

What you didn't hear and what you didn't hear discussed this morning was that Monsanto did conduct further tests.

Monsanto shared the results of those tests with Dr. Parry,

Monsanto published test results, and Dr. Parry in the end

agreed that those tests did not show genotoxicity. They did 1 not show genotoxicity. 2 And you might recall that from Dr. Portier, the 3 plaintiff's expert, when he was questioned in Australia, he was 4 5 asked about those recommendations that Dr. Parry made. There's a series of eight recommendations that were made, and this was 6 7 his testimony about those recommendations. He was asked (reading): 8 Have you reviewed these various recommendations, sir? ۳Q. 9 "A. Yes, I have." 10 11 These are the Parry recommendations that he made to Monsanto, Dr. Parry made to Monsanto. (reading) 12 And just to be clear, has Monsanto, to the best of 13 **"O.** your knowledge, or anybody done all of these 14 recommendations." 15 16 And he said (reading): 17 "With the exception of point I, I think somebody has 18 done something on most of the rest of these." And so it is true that Monsanto itself did not do all 19 these recommendations, but it did run further tests, it did 20 publish the results of those tests, it did provide them to 21 Dr. Parry, and Dr. Parry in the end said "I don't think this 22

And while we're on the topic of Dr. Portier, you will

shows genotoxicity." That is the full story about Dr. Parry

that you will hear in Phase II.

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recall -- you're going to hear briefly from Dr. Portier, again from his testimony that was recorded in Australia, that he, in this time period that we are focused on, during part of that time period, he was at the National Toxicology Program. He was working there to find causes of cancer. And from that period, based on the science at the time, 1986 to 2012, he never said Roundup caused cancer. He never said Roundup caused non-Hodgkin's lymphoma.

So during this period up to 2012, who said glyphosate caused cancer by 2012 that were groups of scientists, whether regulators, whether Dr. Portier, or whether they were other health organizations like the World Health Organization? No one. No one said that based on all of the science, not just the science that you saw in Phase I but all of the science that was available to them. The science -- the tests that were sent to the EPA and other regulators by Monsanto, the tests that were done by other manufacturers of glyphosate-based herbicides, the independent tests, the animal tests, the genotoxicity tests, the epidemiology, no regulator or health organization by 2012 said that glyphosate caused cancer.

So in the end, that is the time period that you need to focus on in Phase II. You will be instructed by the Court at the end of the phase, but we are focusing here in Phase II on Mr. Hardeman's use because you heard the question is: Did Mr. Hardeman's injury that you found, is Monsanto responsible

for it?

So Mr. Hardeman used Roundup from 1986 to 2012. During that time period, no organization, health organization, no the EPA, and no other international regulator required a warning on the label. No health organization or regulator said Roundup causes cancer.

And Monsanto was responsible. Monsanto acted responsibly; and while you may not believe -- or you may not -- this is not a popularity contest. In the end, it's not a popularity contest where you're going to say "Do you love Monsanto? Do you like Monsanto?" But what the evidence will show is that Monsanto, consistent with the science, consistent with how the science was being viewed around the rest of the world did act responsibly and should not be found liable in Phase II.

Thank you.

THE COURT: Okay. Thank you.

Now is a good time for a morning break, and it reminds me there's one little scheduling glitch for today that I forgot to tell you.

We're going to need to have an early lunch break today because of something that I need to deal with at 11:00 o'clock. So the lunch break will go from 11:00 to 12:00 today, and we may go a little bit past 2:30 today in an effort to sort of make sure that we remain on schedule. So I wanted to let you-all know that about today.

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For now we'll take a short break. We'll resume at five
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     minutes to 10:00.
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          Thank you.
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          (Proceedings were heard out of the presence of the jury:)
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              THE COURT:
                          Okay.
                                 Thank you for the closing
     arguments. We'll be back in a few minutes.
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          Who's the first witness?
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              MS. WAGSTAFF: Dr. Martens.
 8
              THE COURT: Dr. Martens, okay.
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                       (Recess taken at 9:48 a.m.)
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                   (Proceedings resumed at 10:00 a.m.)
          (Proceedings were heard out of the presence of the jury:)
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13
              THE COURT:
                         Okay. Go ahead and bring them in.
              MS. MOORE: Your Honor, I'm sorry, really quick.
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     have -- the parties have met and conferred, and there is a
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     brief section of the Reeves deposition that we added some
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     designations Mr. Wool can speak to. It won't take but a
18
     minute.
              THE COURT:
19
                          Okay.
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              MR. WOOL: These were the designations we added
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     briefly last night we submitted to the Court. It's really just
     the same issue throughout the entirety of the designation, just
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     sort of with respect to what information is going to be allowed
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     to come in with respect to Monsanto's relationship with EPA.
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          And so we just -- it doesn't need to happen right now, but
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we just wanted to tee that up because we were planning on 1 playing Reeves this afternoon. 2 So I can provide Your Honor with the transcripts right 3 here and, you know, during the lunch hour, or something like 4 5 that, I think it would be pretty easy to get a ruling. THE COURT: Okay. So there were some additional 6 Reeves designations that were submitted last night? 7 MR. WOOL: Correct. 8 THE COURT: Okay. 9 MR. WOOL: Sort of pursuant to the colloquy with the 10 11 Court earlier in the day. THE COURT: Okay. And these are them? 12 MR. WOOL: That's correct. 13 THE COURT: That's it? 14 MR. WOOL: Yes. And that includes Monsanto's at the 15 16 bottom, although those are probably less objectionable and --17 MR. STEKLOFF: I think what you will see, based on the colloquy that we had yesterday morning, Your Honor, they've 18 19 added in 2015 testimony about Jess Rowland, which we object to. And then we also made some slightly -- you know, small 20 number of new affirmative designations, which I think are, like 21 Mr. Wool said, less controversial. 22 23 Once we have that, they can play the Reeves video. THE COURT: Okay. I'll try to look at it while this 24 testimony is playing right now. 25

#### MARTENS - VIDEO TESTIMONY

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1
              MR. WOOL: Thank you, Your Honor.
                         Thank you, Your Honor.
 2
              MS. MOORE:
                          Okay.
              THE COURT:
 3
              MS. WAGSTAFF: Your Honor, I don't know if you have a
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 5
     hard stop of 11:00 a.m., but this deposition is one hour and
     eight minutes.
 6
 7
              THE COURT: I do have a hard stop.
              MS. WAGSTAFF: Okay. So we'll just finish it after.
 8
              THE COURT: Finish it at five minutes till.
 9
              MS. WAGSTAFF: Okay. Finish it at five minutes till,
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     and then we'll just finish it after lunch.
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              THE COURT:
                         Fine.
12
13
              MS. MOORE:
                         Thank you.
              THE COURT: Or thereabouts, give or take a couple of
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15
    minutes.
16
          (Proceedings were heard in the presence of the jury:)
17
              THE COURT: Okay. The plaintiff can call its first
18
     witness.
              MS. MOORE:
                          Thank you, Your Honor. The plaintiffs
19
     call Dr. Mark Martens from Monsanto.
20
              THE COURT:
                          Okay. Go ahead.
21
                   (Video was played but not reported.)
22
              THE COURT:
                         Okay. Thank you. That sounds like a good
23
     time to take a break.
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25
          So we'll go on our lunch hour early. As I said, I
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apologize for having to tweak the schedule for you today. We will resume -- we'll bring you back in here at noon sharp.

And please remember all of my admonitions and be careful not to -- you need to prevent yourself from overhearing anything in the building and things like that, and we'll see you at noon sharp.

Thank you.

(Proceedings were heard out of the presence of the jury:)

THE COURT: Okay. And I'll remind everybody in the courtroom that the rule still applies that you have to wait five minutes. Nobody can leave the courtroom for five minutes at the lunch break or at the end of the trial day to give the jurors a chance to use the elevator and whatnot.

What I will propose is that you-all come back at 11:45, and we can talk a little bit more about this Rowland business.

My gut reaction is that a lot of this should not come in under Rule 403, and perhaps none of it should come in; but it seems like it's at least worth having a discussion about, you know, the paragraph in Exhibit 90, which starts "Also Jess called to ask for a contact name at ATSDR." It seemed like that one paragraph, you know, potentially could come in without, you know, sort of opening the door to too much or creating too many problems.

And then possibly the stuff about Rowland retiring if it's sort of sanitized from any discussion of IARC and whatnot.

And then the -- and then Exhibit 92 possibly, but I want 1 to hear a little more about -- I don't know -- I don't know who 2 Jack Housinger exactly is. 3 Part of it depends, I suppose, on how long Rowland and 4 5 Housinger were in EPA and whether there's any evidence of any kind of relationship between Monsanto and Rowland and Housinger 6 7 pre-2012 and what kind of influence they exercised pre-2012. I mean, those are some of the questions to ponder, but 8 I'll be back at 11:45 to hear more from you on that. 9 Okay. Thank you, Your Honor. 10 MS. MOORE: MR. STEKLOFF: 11 Thank you, Your Honor. Can I just flag, I don't need to argue --12 13 THE COURT: Oh. And I didn't get the text exchange. Nobody handed me up the text exchange. 14 15 MR. STEKLOFF: We'll try to locate that. 16 THE COURT: Okay. 17 MR. STEKLOFF: Can I just hand you one thing? We'd like to seek reconsideration of one exhibit that you admitted 18 19 in the Farmer testimony, and so I pulled out that exhibit and the relevant testimony. 20 21 THE COURT: Okay. 22 MS. WAGSTAFF: Do you have a copy of the relevant 23 testimony? MR. STEKLOFF: I'll get it for you. 24 25 THE COURT: All right. Thank you.

(Luncheon recess taken at 10:59 a.m.)

# Afternoon Session

11:53 a.m.

(Proceedings were heard out of the presence of the jury:)

THE COURT: Okay. First, on this Donna Farmer thing, now that I'm seeing what the document is about, it strikes me that this should not come in.

I will say that this is a consequence of objecting to everything. I mean, it appears -- you know, from going through the deposition designations, it appears that Monsanto has decided to object to virtually all the evidence that's coming in on the grounds that -- on 403 grounds, on the grounds that it's unfairly prejudicial.

I mean, most of the objections, frankly, are ridiculous. So it becomes hard going through all of the deposition testimony to focus on any one thing when you just get the same 403, 403 objection to everything.

Yes, this evidence is prejudicial to Monsanto in the sense that it is damaging, but it's not excludable -- for the most part, it's not excludable under 403.

So, you know, I don't know if it's too late to go back and try to be a little more judicious with your objections or if you just want me to keep going through what you've sent me, but either way, this is a good example of -- this is a consequence of the blanket objections that Monsanto has made.

So, anyway, but, I mean, this document definitely is not

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admissible.
 1
              MS. MOORE: Your Honor, we would just ask that we be
 2
     allowed to redact, then, parts of it because I think the first
 3
     line on page 1, bullet 1, "Funny you should say that, Donna
 4
 5
     Farmer.
              Glyphosate talks and I have been playing whack-a-mole
     for years and calling it just that. We were joking about it
 6
 7
     yesterday, and I think that that is relevant. I mean, it's
     the way that they perceive this is a game and it's not a game,
 8
     and that's referring to glyphosate toxicology with Donna
 9
     Farmer.
10
11
              THE COURT:
                          I understand your argument, but this
     document is excluded under Rule 403 and, frankly, probably 401
12
13
     as well, and the accompanying testimony is excluded as well.
          So, then, that brings us back to the stuff that you-all
14
15
     gave me this morning.
16
          Let me ask, first, how long is Dr. Reeves' testimony?
17
              MS. MOORE:
                          It's a little under two hours, Your Honor.
              THE COURT:
                          Okay.
18
              MS. MOORE:
                          That's both sides, Your Honor.
19
20
              THE COURT:
                          Right. And then Farmer is after Reeves;
21
     is that the plan?
                          Yes, Your Honor.
22
              MS. MOORE:
23
              THE COURT:
                                 So Farmer will be ready to go after
                          Okay.
     this bit is removed. And how long is Farmer?
24
25
              MS. MOORE: It's almost 3 hours?
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1
              UNIDENTIFIED SPEAKER:
                                     3:15.
                         3:15 with both sides, Your Honor.
 2
              MS. MOORE:
              THE COURT:
                          Okay. As I've said a couple times now,
 3
     there's a lot of cumulative stuff in there, but it's your
 4
 5
     choice.
          Okay. So let's talk about Rowland, then, for a few
 6
     minutes. It sounds like we could potentially resolve Rowland a
 7
     little bit later, but -- so I quess -- let me -- you know, as I
 8
     said, just looking at this first e-mail, Exhibit 90, you know,
 9
     the only possible thing that would come in I think would be
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11
     that paragraph. I think it's questionable whether it should
     come in, but why don't you tell me why you think that one
12
     paragraph at least shouldn't come in.
13
              MR. KILARU: Is that the paragraph, Your Honor, on the
14
15
     second page that starts with --
                                "Jess called to ask..." yeah.
16
              THE COURT: Yes.
              MR. KILARU: I think it shouldn't come in because it's
17
     here talking about a 2015 review that may or may not have
18
19
    happened.
20
                          Right.
              THE COURT:
              MR. KILARU: I mean, it's Monsanto's communication --
21
     first of all, it's hearsay because it's relaying a conversation
22
23
     that Rowland had with the person in the e-mail.
              THE COURT: Well, but it's not -- it wouldn't be --
24
     it's not offered for its truth.
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1	MR. KILARU: I think that would be, then, further
2	fair enough, but I think that would further diminish its value
3	in terms of how probative it is because if it's not offered for
4	the truth, then presumably it's coming in for some kind of
5	state-of-mind-type reason.
6	THE COURT: It would be coming in to show that
7	Monsanto has a guy in the EPA at a high level.
8	MR. KILARU: But as of this is about 2015,
9	Your Honor. And just going back in time a little bit, because
10	you asked this question before, there actually isn't any
11	evidence of any connection between Monsanto and Jess Rowland
12	before these documents and this e-mail.
13	As you may recall
14	THE COURT: Other than this e-mail and these
15	documents.
16	MR. KILARU: Other than this e-mail.
17	THE COURT: Because these documents suggest a
18	preexisting relationship with Jess Rowland; right?
19	MR. KILARU: I suppose. I mean, how far back it goes
20	is very unknown. Whether it began before 2015 is, I think,
21	completely unclear from the documents.
22	And when Mr. Rowland was deposed, as you may recall, the
23	EPA permitted him to be deposed only on 2014 and going forward
24	so that is actually all the evidence we have in this case of
25	Rowland's involvement. And I don't think taking this paragraph

```
out of the context and perhaps suggesting that it might be
 1
     about something before 2012 when it's clearly not would be
 2
     appropriate.
 3
              THE COURT: EPA permitted him only to testify about
 4
 5
     2014-2015?
 6
              MR. KILARU: Yeah.
                                  I have a copy of the authorization
     for the subpoena but basically because he was still at the
 7
     agency -- excuse me -- he had left the agency, but because it
 8
     was about matters within the scope of his employment, the
 9
     agency has to authorize him to the extent he's allowed to talk
10
11
     about it. So the only evidence of Jess Rowland in this case is
     from 2014 and on.
12
13
              THE COURT: What was his position in 2015 at this
     time?
14
15
              MR. KILARU: I don't remember his exact position.
16
              THE COURT: Was it --
17
              MR. KILARU: Actually, I may have it here.
18
              THE COURT:
                          I want to know what his position was, how
19
     long he held it. Did he hold the same position, you know,
20
    pre-2012?
              MR. KILARU: I believe he was the deputy division
21
     director. He had previously worked as the co-chair of the
22
     Cancer Assessment Review Committee. How far back he had worked
23
     at EPA, I am not certain.
24
25
              MR. WOOL: He started at EPA in 1990.
```

But I'm curious what jobs he held. 1 THE COURT: long did he hold this deputy director job? 2 MR. WOOL: I think it was several years. I don't have 3 it. 4 5 THE COURT: All right. So it sounds like we're not quite ready yet -- nobody's quite ready yet to have a full 6 discussion about this to the point where a decision can be made 7 whether this is allowable. 8 MR. KILARU: Your Honor, if I could just on that, 9 though, I mean, I think what we are -- the portion of the 10 11 e-mail that I believe we're discussing right now is, by definition, about 2015. I mean, that is what he is talking 12 13 about. He's talking about a review in 2015. Right. And, again, the idea would be that 14 THE COURT: 15 you can only consider this to the extent it's relevant to 16 Monsanto's pre-2012 conduct, but it seems like it is on some 17 level relevant to Monsanto's -- potentially relevant to 18 Monsanto's pre-2012 conduct because it shows a preexisting 19 relationship, cozy relationship, with a high-level EPA 20 official. 21 And the question --22 MR. KILARU: And I think -- sorry, Your Honor. 23 THE COURT: No. And so, you know, it's potentially Then the question is: How relevant and is its 24 relevant.

relevance, you know, outweighed by the unfairly prejudicial

25

PROCEEDINGS effect it would have? That, I think, is probably the question. 1 MR. KILARU: And I think just on that, Your Honor, 2 what I'd say is, I think consistent with the discussion a few 3 days ago, if there's evidence of Mr. Rowland having involvement 4 5 with Monsanto during the time period we're talking about, that might be one thing. 6 But if it's --7 THE COURT: MR. KILARU: And if there's post-2015 evidence --8 But they didn't let him -- they didn't let THE COURT: 9 the plaintiffs take his deposition on --10 11 MR. KILARU: Well, that may be, Your Honor, but we can't sort of pretend evidence exists if it doesn't. 12 we have the evidence we have, and the evidence --13 THE COURT: You might expect that there would be 14 15 documentary evidence. You know, we've got e-mails talking 16 about "Jess Rowland is getting ready to retire and maybe he can 17 be a good asset for us." We've got e-mails talking about conversations they're having with Jess Rowland, which could be 18

interpreted as Rowland assisting -- kind of assisting Monsanto in moving things a certain direction.

19

20

21

22

23

24

25

You might expect there to be documentary evidence from pre-2015 on that if, in fact, they were engaging in those kinds of communications with Rowland at that time.

MR. KILARU: And my point is, Your Honor, I don't think there is any evidence of that in our record. If it may

exist out there in the world, that might be another matter for 1 a future case --2 THE COURT: Okay. 3 MR. KILARU: -- but for here, we have what we have. 4 5 And when what Mr. Rowland is talking about is his conduct in 2015 in relation to approvals that were being discussed in 6 7 2015, then, first of all, we think that is post-use; and, second of all, we think if they're going to introduce evidence 8 of what Mr. Rowland was talking about in EPA in 2015, we should 9 have the right to complete the story with what then followed 10 11 from that. And I think we've been keeping that evidence out so far, and so I think that should probably continue. 12 So here's what I would suggest. 13 THE COURT: not going to come in now because I want to have a fuller 14 15 discussion about it, and we can talk at the end of the trial day, perhaps today, further about it or tomorrow morning or 16 17 something. So you could play Reeves now without that or you could 18 play Farmer since Farmer's ready to go. It's up to you. 19 either way, we're going to bring the jury back in now. 20 MR. KILARU: Thanks, Your Honor. 21 MR. WOOL: May I make one brief point, Your Honor? 22 THE COURT: No. 23 MR. WOOL: Fair enough. 24

**THE COURT:** Because the jury has been waiting.

25

# REEVES - VIDEO TESTIMONY

```
(Proceedings were heard in the presence of the jury:)
 1
                          Welcome back, everyone. You can resume
 2
              THE COURT:
     with Dr. Martens.
 3
                         Thank you, Your Honor.
              MS. MOORE:
 4
 5
                   (Video was played but not reported.)
              MS. MOORE: Your Honor, plaintiffs move to enter into
 6
     evidence Trial Exhibits 155, 156, 157, 158, 159, 160, 161, and
 7
     208.
 8
              THE COURT: Any objection?
 9
              MR. STEKLOFF: I'll need to just double -- confirm
10
11
     that, but no objection.
              THE COURT: Okay. So they'll be admitted
12
     provisionally subject to your confirmation.
13
          (Trial Exhibits 155 through 161 and 208 received in
14
           evidence)
15
                         Thank you, Your Honor.
16
              MS. MOORE:
17
              THE COURT:
                         And go ahead and call your next witness.
18
              MS. MOORE:
                          Thank you, Your Honor.
          We call Monsanto's designated corporate representative,
19
20
     Dr. William Reeves.
              THE COURT: Go ahead.
21
22
                   (Video was played but not reported.)
                         Okay. Why don't we take a short break.
23
              THE COURT:
     We'll resume at quarter after the hour.
24
25
          (Proceedings were heard out of the presence of the jury:)
```

Okay. Be back in a few minutes. 1 THE COURT: 2 (Recess taken at 1:08 p.m.) (Proceedings resumed at 1:18 p.m.) 3 MS. MOORE: Your Honor, it looks like we'll have time 4 5 to play -- start Dr. Farmer. I do want to note that we 6 objected to the entirety of the defense's affirmative 7 designations of Dr. Farmer because she's not unavailable to So it may be something we need to bring up. 8 THE COURT: I saw that objection. 9 MS. MOORE: 10 Okay. 11 THE COURT: Yes. MS. MOORE: As the Court --12 13 THE COURT: And I allowed that in. And I've got the final ones right here. I was going to look at the final ones. 14 MS. MOORE: Because they've got about two hours' worth 15 of affirmative designations, and we think it's cumulative of 16 17 Dr. Reeves. That part of Dr. Farmer happened like two days 18 after Dr. Reeves, and it's clear they didn't like the way 19 Dr. Reeves came in so they tried to use Dr. Farmer to clear 20 that up. 21 So that's the basis of our objection. She's not an unavailable witness to them so they need to bring her live if 22 23 they want to get that testimony from her. THE COURT: You know, the answer may be that somebody 24 25 other than Farmer may need to be next, if we have an ongoing

# REEVES - VIDEO TESTIMONY

```
dispute about Farmer. That will be up to you.
 1
 2
              MS. MOORE:
                          Okay.
              THE COURT:
                          I mean, I -- anyway, we can talk about it.
 3
              MS. MOORE: We can at least play the first part.
 4
 5
              MR. STEKLOFF: I don't think it is a valid dispute, so
     I don't know how much time to spend on it.
 6
              MS. WAGSTAFF: Your Honor, we can start Farmer.
 7
     affirmatives will get us through today.
 8
              THE COURT:
                         Right.
 9
                                  Okay.
              MS. MOORE: We have one hour left in Reeves, Mr. Wolfe
10
     just told me. So that will take us to 2:20.
11
                         We will take a break after that.
12
              THE COURT:
              MS. MOORE: Sounds good, Your Honor.
13
          (Pause in proceedings)
14
15
          (Proceedings were heard in the presence of the jury:)
16
              THE COURT: Welcome back. You can resume.
17
              MS. MOORE:
                         Thank you, Your Honor.
18
                   (Video was played but not reported.)
              THE COURT:
                         Why don't we take another short afternoon
19
20
    break.
            We will resume in about five minutes. Thank you.
21
                       (Recess taken at 2:01 p.m.)
22
                    (Proceedings resumed at 2:08 p.m.)
23
          (Proceedings were heard in the presence of the jury:)
              THE COURT: Okay. You can resume.
24
25
                   (Video was played but not reported.)
```

### REEVES - VIDEO TESTIMONY

```
Is that it?
 1
              THE COURT:
                         Yes, Your Honor.
 2
              MS. MOORE:
              THE COURT:
                         All right. Why don't you call -- start
 3
     your next witness.
 4
 5
              MS. MOORE:
                         Your Honor, we do have some exhibits that
    we want to move into evidence.
 6
 7
              THE COURT:
                         Go ahead.
              MS. MOORE: Can we go ahead and do this for the
 8
              Trial Exhibit 86, 89, 220, 249, 250, 251, 254, 413,
     record?
 9
     443, 448, 449, 450, 451, 452, 453, 495.
10
11
              THE CLERK:
                         Hold on.
              MS. MOORE: Sorry, Kristen.
12
              THE CLERK:
13
                         453.
                         495, 499, 503, and 516.
14
              MS. MOORE:
              THE COURT:
                         Mr. Stekloff, do you have all those seared
15
     into your brain?
16
17
              MR. STEKLOFF: I actually have a chart, and it almost
     matches. So we will just double-check. I can say now we have
18
    no objection to any of the Martens exhibits.
19
              THE COURT: Okay. They will be provisionally admitted
20
     subject to your confirming there are no objections.
21
          (Trial Exhibits 86, 89, 220, 249, 250, 251, 254, 413,
22
           443, 448, 449, 450, 451, 452, 453, 495, 499, 503 and
23
           516 received in evidence)
24
25
              MR. STEKLOFF: Then, Your Honor, I need to move in
```

# FARMER - VIDEO TESTIMONY

```
from Martens Exhibit 154 and then from Reeves Exhibit 1005,
 1
     1178, which was admitted in Phase One, and then a portion of
 2
     1697 that I will show Plaintiff's counsel.
 3
              MS. MOORE: Your Honor, I need to look at those.
 4
 5
     wasn't on my list, and I will get back to you. I did not list
     515. It was admitted in Phase One. It is in evidence. I
 6
     didn't think I needed to readmit it.
 7
              THE COURT:
                         That's right.
 8
              MS. MOORE:
                         Thank you, Your Honor.
 9
              THE COURT: As long as it is the same stuff. Get a
10
11
     start on your next witness.
              MS. MOORE: The Plaintiffs call Dr. Donna Farmer from
12
13
    Monsanto.
                   (Video was played but not reported.)
14
              THE COURT:
                          Sidebar.
15
16
          (The following proceedings were heard at the sidebar:)
17
              THE COURT: What came in was not a particularly big
18
     deal, but I just wanted to make sure that you properly cut out
19
     the whack-a-mole stuff.
              MS. MOORE: It was, Your Honor. It has all been taken
20
     out.
21
              MS. WAGSTAFF: I didn't even know it was in there.
22
              THE COURT: Okay. That's fine.
23
              MS. MOORE: Thanks, Your Honor.
24
25
          (Proceedings were heard in the presence of the jury:)
```

PROCEEDINGS MS. WAGSTAFF: May we continue, Your Honor? 1 2 THE COURT: You may. (Video was played but not reported.) 3 Breaking point, okay. All right. Ladies THE COURT: 4 5 and gentlemen, that will be it for today. We will resume on 6 Friday -- as you discussed back there with Kristen, we will 7 begin at 8:00 a.m. on Friday to kind of make sure we are on track to finish as we discussed. So we will see -- I will be 8 requiring the lawyers to be here at 7:30 a.m. on Friday. 9 10 will be here at 7:30 a.m. You should be ready to come in at 11 8:00 a.m. on Friday. So thank you very much. Remember all of my admonitions about your conduct, and we will see you Friday 12 13 morning. Thank you. (Proceedings were heard out of presence of the jury:) 14 THE COURT: A reminder to everyone in the courtroom, 15 16 you are a prisoner here for about five minutes while we give 17 the jury a chance to take off. In the meantime, feel free to have a seat. Why don't we finish some of our conversations 18 19 that we have been having today. Regarding Farmer, there were these few other designations 20 that were given to me. Those seemed fine. The objections to 21 22

Regarding Farmer, there were these few other designations that were given to me. Those seemed fine. The objections to those are overruled, but there was -- I believe there is some other issue about Farmer. Oh, it was from the opening. Something about the chart that was being used during the opening statement. So what's that about?

23

24

25

MS. MOORE: Yes, Your Honor. That was a summary
prepared by the lawyers and Dr. Farmer. Our objection to it is
that it was using her as an expert witness. She's not an
expert witness in this case. She's a witness that's available
to Monsanto. We don't believe she falls within the
unavailable. That's why we objected to the entirety of their
affirmative designations, which I think are about two hours now
based on what they designated last night.

I think it's cumulative of Dr. Reeves also. Most of this has already come in through Dr. Reeves. I just think they think it sounds better to them under Dr. Farmer.

But the main point is that under Rule 32, she's an unavailable witness to us as the adverse party but she is an available witness to them. So they do need to bring her live.

As the Court will recall last week, they actually said they were going to bring Dr. Reeves live.

So these people are available to them. They are choosing not to so that means that their depositions cannot be played in lieu of live testimony.

MR. STEKLOFF: There was a lot there. I won't respond to the cumulative argument based on what we're seeing from the other side.

Rule 32(a)(4)(B) allows us to play the deposition testimony of Dr. Farmer, and I'm not sure what the objections are to those two exhibits but those were raised. You

```
overruled -- they actually have already been overruled.
 1
     think they're appropriate summary documents.
 2
              THE COURT: Okay. So all those objections are
 3
     overruled. Those summary documents can come in or be used, and
 4
 5
     those additional designations that you made are admissible.
     Those objections are overruled.
 6
          So are we done on Dr. Farmer?
 7
              MS. MOORE: I think so, Your Honor.
 8
              THE COURT:
                         Okay.
 9
              MS. MOORE:
                         Sorry. Go ahead.
10
11
              THE COURT: Let me look at my notes. So what else do
    we kind of have hanging out there?
12
              MS. MOORE: Your Honor, for Friday we are planning to
13
     call Mr. and Mrs. Hardeman and Dr. Nabhan. I don't think we
14
15
    have any outstanding issues with any of those three live
16
    witnesses.
17
          With respect to depositions, we'll obviously be continuing
     Dr. Farmer's deposition, which I'm not sure how much more time
18
19
     we have on that, but it's probably at least two hours.
20
              MR. WOLFE:
                          2:40.
              MS. MOORE:
                          2:40. So that's going to take up a lot of
21
     the day on Friday, and then --
22
23
              THE COURT:
                         So let me slow you down a little bit.
24
              MS. MOORE:
                          Okay.
25
              THE COURT: So Mr. Hardeman and Mrs. Hardeman, how
```

```
long do you plan to have them testify roughly?
 1
              MS. MOORE:
                          Both of them should be on and off the
 2
     stand in total less than an hour, Your Honor.
 3
                          And as you sit here now, do you have any
              THE COURT:
 4
 5
     estimate about how much, if any, cross you have for them?
              MR. STEKLOFF: 30 minutes or less total.
 6
 7
              THE COURT:
                          Okay. And then what about Dr. Nabhan?
              MS. MOORE: From our perspective, Your Honor, he would
 8
    be on and off the stand for direct in less than an hour.
 9
              THE COURT: And any estimate from you as you sit here
10
11
    now?
              MR. STEKLOFF: I mean, I find it hard to believe that
12
     we need to hear for an hour about how chemotherapy is hard to
13
     go through but, I mean, it depends what he says. So if that's
14
15
     really what he's going to talk about for an hour, I would say
16
     10 minutes or less.
17
              MS. MOORE: I said less than an hour, Your Honor.
                                                                  Ι
     suspect he'll be 30 minutes. I'm just not trying to box myself
18
19
     in.
20
              THE COURT: And so is it just about how hard it is to
21
     go through chemotherapy or is there also, like, prognosis
     testimony?
22
23
              MS. MOORE:
                          It's prognosis, Your Honor, and his
     damages. He did a thorough summary. We're not going to
24
25
    belabor this.
```

We're not actually even playing the depositions of Dr. Ye 1 and Dr. Turk because we think that would be cumulative. 2 actually, I need to tell you don't even look at those. 3 designations were turned in to you, so we're not going to play 4 5 those so you can take that off your list of things to do. Take off Ye and Turk? THE COURT: 6 MS. MOORE: 7 Yes. MR. STEKLOFF: We may end up, then, playing that. 8 Then I think they will be affirmatives for us, and I think they 9 10 can appropriately counter. 11 But we'll have to, then, I think maybe work together to go back through those. It also probably depends on what 12 Dr. Nabhan says. If Dr. Nabhan is consistent with Dr. Ye, we 13 might not need it; but if he contradicts him, then we might 14 15 need to play Dr. Ye. 16 THE COURT: Okay. 17 All right. And then you said Dr. Farmer -- you anticipate 18 how much more of her testimony? MS. MOORE: 19 2:40. 2:30 to 2:40, Your Honor. 20 MR. WOLFE: 21 MS. MOORE: Two and a half hours at least, Your Honor. 22 So that potentially might be all for THE COURT: 23 Friday. MS. MOORE: Right. 24 25 THE COURT: Obviously you can be ready with more

deposition testimony or whatever if you need it. 1 And so then after that what's left? 2 MS. MOORE: Well, Your Honor, what we're going to do 3 is tonight we're going to go back through Dr. Heydens' 4 5 deposition. We've already cut over an hour from him. We have been heeding your advice on this, Your Honor. 6 We're trying to streamline things. So we're going to try to do 7 another swing at Dr. Heydens and see if we can cut some more 8 out of that. 9 10 THE COURT: You're saying the deposition that I just 11 finished going through this afternoon, you're going to go back and cut it? 12 13 MS. MOORE: Well, we cut an hour and a half already from what you reviewed. 14 15 THE COURT: I cut it for you. 16 MS. MOORE: Oh, you did? Okay. 17 THE COURT: Because you put in a lot of, like, 18 obviously inadmissible testimony. And, again, I mean, it's a 19 little frustrating because, you know, you designated testimony 20 from Heydens that is clearly contrary to my pretrial rulings, 21 and so I had to sit there and go through all this testimony 22 that is obviously inadmissible based on my pretrial rulings. 23 So, you know, you're --MS. MOORE: Well, that was not our intent, Your Honor, 24 and I'm not sure what you're referring to, but we'll look at 25

```
the order that you're issuing on that.
 1
          The other ones would be --
 2
                         Pages 14 through 175.
              THE COURT:
 3
              MS. MOORE:
                          Okay.
 4
 5
              THE COURT:
                         But I'll issue an order on that.
              MS. MOORE: Okay. Thank you, Your Honor. I mean, I
 6
     don't know what those are.
 7
              THE COURT: So, in any event, you want to play some
 8
     testimony from Dr. Heydens.
 9
10
                          Right, Your Honor.
              MS. MOORE:
11
          And then Saltmiras, which is about I think five and a half
     minutes.
12
13
              THE COURT: What's the name again? Sorry?
              MS. MOORE: David Saltmiras and you have that,
14
15
     Your Honor.
16
              THE COURT:
                         Okay.
17
              MS. MOORE:
                         And then you also have Larry Kier.
              THE COURT:
                         Okay. And that I haven't looked at yet.
18
              MS. MOORE:
                         And I don't think that one's very long
19
20
     either, Your Honor.
21
          And then we're going to take -- have you looked at
22
     Hugh Grant, the former --
              THE COURT:
23
                          No.
              MS. MOORE: Okay. We're going to take another swing
24
25
     at him tonight and see if I can cut that. It's about 44
```

minutes so I'm going to see if I can cut that some more, and 1 then we can send the Court an e-mail if we do de-designate and 2 we can send you an updated transcript. I'll just tell you that 3 off the top of my head. 4 5 THE COURT: Okay. And then who -- is that it or -are those all the witnesses? 6 7 MS. MOORE: No, Your Honor. We may need to call Dr. Mills based on the conversation we had this morning. 8 can go back and look at the 2012 numbers, some of which are 9 even more -- even larger than the 2017. So I was going to talk 10 11 to them and see if they really want to go there or not. And then we also have Murphy, Gould, and Gard. Those are 12 13 not that long. Murphy, Gould, and? 14 THE COURT: MS. MOORE: Gard. 15 16 THE COURT: Okay. 17 MS. MOORE: Gould and Gard are with Monsanto. Murphy is with CropLife, the trade association. And so we're going to 18 look at all of those again tonight and see if we can streamline 19 20 them even more. Okay. So here's what I'm going to require 21 THE COURT: you to do. Given the fact that, you know, I'm getting all 22 23 these depo designations that are clearly inadmissible, I'm going to require you by Friday, by Friday morning at 8:00 a.m., 24

to file -- to submit something new on Saltmiras, on any of the

25

```
other witnesses whose depo testimony you want to put in.
 1
     Saltmiras, Kier, Grant, Murphy, Gould, Gard. I want you to go
 2
     through a careful review --
 3
              MS. MOORE:
 4
                          Okay.
 5
                         -- of that testimony, and then either tell
              THE COURT:
     me, "No, the stuff we gave you is what we need you to review,"
 6
     or resubmit a streamlined version --
 7
              MS. MOORE:
                          Okay.
 8
              THE COURT:
                         -- of the testimony --
 9
              MS. MOORE:
                          That's no problem, Your Honor.
10
11
              THE COURT:
                         -- for me to review --
                         That's no problem.
12
              MS. MOORE:
                         -- and then I can do that over the
13
              THE COURT:
     weekend.
14
          Heydens or Heydens, however you pronounce his name, you'll
15
16
     get that from me this afternoon because I went through it.
17
              MS. MOORE:
                          Okay.
                                 Great.
              THE COURT:
                         So is that all the witnesses that you're
18
19
     hoping to call?
20
              MS. MOORE: I'm not -- I think so, Your Honor, but I'm
     not absolutely sure because I was just prepared to talk about
21
     what was going to happen on Friday, but I'll look at all that
22
23
     tonight and we can send in an e-mail. When we notify you about
     whether there's streamlining of these other depositions, we'll
24
25
     note if there's anything else there too.
```

Okay. And, then, so where do we stand 1 THE COURT: with Monsanto in terms of what it plans to do? 2 MR. STEKLOFF: Well, I think it in part depends, 3 Your Honor, on which depositions they choose to play and which 4 5 they don't, potentially using Dr. Ye as an example. There may be depositions that we play, I think very short clips. 6 looking here. We have 6 minutes and 15 seconds of Dr. Ye that 7 we affirmatively designated. 8 And so I think -- and then we have reserved the right to 9 call Dr. Reeves, although I think it's unlikely. And so I 10 11 think we will not have a lot of witness testimony, either through video or otherwise, in our case. 12 Can I just comment, Your Honor? By my calculations, after 13 the Farmer deposition is completed, the plaintiffs will have 14 15 approximately very close to four and a half hours. I mean, I'm 16 just doing the math on the witnesses that they just stated. 17 That is almost five hours of testimony, understanding you're 18 going to cut it, of video. Then they have said they're going to call both of the Hardemans. That's an hour. 19 They said they're going to call Dr. Nabhan. We're getting close to six 20 And I assume that Ms. Wagstaff would like to give a 21 hours. 22 closing argument. 23 So I'm just -- I mean --MS. MOORE: And, Your Honor --24 MR. STEKLOFF: -- this has not been efficient. 25

mean, even today we saw documents shown to Dr. Reeves and
Dr. Farmer, the same documents about the same epidemiology
we've heard about now for over two weeks. And so I think that
we need to -- they need to be forced to streamline their case
and when they hit their -- when they hit zero, it's zero.

MS. MOORE: And, Your Honor, this is the conclusion of

the very first day of Phase II. We did the opening in approximately 30 minutes this morning. We have really cut things, but to put on liability and damages in a case like this, I mean, we do need more than, you know, a handful of hours.

And we will go back and look at these other depositions. The other one I forgot to mention, Your Honor, was Koch, too, K-O-C-H.

THE COURT: Oh, right.

MS. MOORE: And so we will do that, Your Honor. We were trying to be as efficient as we can, but we also have to put on our case.

As far as closing, I would like the opportunity to be able to present a closing argument, so I do want to reserve time for that as well. I think that's only fair for the plaintiff, and we do have the burden of proof.

THE COURT: And let me just tell you, if you want to -- yeah, no, scratch that.

So I want to be clear what is required of you on Friday

morning. 1 2 MS. MOORE: Okay. THE COURT: And what is required of you on Friday 3 morning is to file a letter, or something like that, which 4 5 identifies each witness that you plan to call, an estimate of the amount of time involved --6 7 MS. MOORE: Okay. THE COURT: -- and then you should -- for the video 8 witnesses, you should indicate whether you are going to be 9 submitting revised designations that are more consistent with 10 11 my pretrial rulings or whether I should review the designations that you have already submitted. 12 MS. MOORE: 13 Okay. I understand, Your Honor. THE COURT: 14 Okay. MS. MOORE: Okay. That sounds good, Your Honor. 15 We 16 will do that. 17 THE COURT: Okay. MS. MOORE: And in the meantime we'll meet and confer 18 19 with defense on the financial issue if there is anything else 20 that they would agree to, so we can avoid taking the time to 21 call Mr. Mills to read out numbers basically. THE COURT: Yes, and perhaps we need to be talking 22 23 more about that issue now as well, and then two other things I can think of that we might want to talk about right now are 24

that issue and then just the EPA officials issue.

25

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Oh, okay. That's fine, Your Honor.
 1
              MS. MOORE:
          So the financial -- if I can just grab my notes really
 2
     quick.
 3
              THE COURT:
                         Sure.
 4
 5
              MS. MOORE:
                         -- and then the RFA too.
              THE COURT: And, by the way, if people are sick of us,
 6
     they're free to leave now.
 7
              MS. WAGSTAFF: Me too?
 8
                         (Pause in proceedings.)
 9
              MS. MOORE: Actually, I don't know where it is right
10
11
    now, Your Honor. I don't want to take your time, but I know it
     off the top of my head.
12
              THE COURT: Know what? Sorry.
13
              MS. MOORE: The financials, if you wanted to go back
14
15
     through that.
16
              THE COURT:
                         Oh, you're talking about the 2012 thing?
17
              MS. MOORE:
                         Right.
              THE COURT:
                          Okay. So the idea is that, if I recall
18
     where we left this -- where we left off is that -- let me pull
19
20
     it up here -- where we left off is that most or all of these
21
     types of numbers could come in --
              MS. MOORE:
22
                          Right.
                         -- except perhaps number 9, since that
23
              THE COURT:
     happened just, like, last year or something; right?
24
25
              MS. MOORE: And our position on number 9, Your Honor,
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is that's part of the acquisition from Bayer and that they paid 1 one individual over \$32 million as part of his retirement. 2 Ιt goes back to how they're choosing to spend their money. 3 Okay. And I understand that, but I think 4 THE COURT: 5 the problem is that it is -- you know, on the issue of current net worth, cash on hand, how much Bayer paid to acquire 6 7 Monsanto, I think all of that stuff is relevant and appropriately included in the presentation to the jury on the 8 issue of ability to pay for punitive damages. 9 The rest of the stuff I think is conceptually admissible 10 11 because it's relevant to -- not to the issue of ability to pay but to the issue of whether Monsanto's conduct was 12 13 reprehensible; right? 14 MS. MOORE: Right. THE COURT: But on the issue of whether Monsanto's 15 16 conduct was reprehensible, as I've ruled, the focus needs to be on the time period where Monsanto's conduct injured 17 Mr. Hardeman. And so, you know, I think that except for items 18 1 and 2 on your list, on your proposed stipulation here --19 20 MS. MOORE: Right. -- except for your items 1 and 2, it has 21 THE COURT: to be limited to stuff from 2012 or earlier. 22

MS. MOORE: And so what I think we can do, Your Honor, before Friday is we will -- Dr. Mills will pull those numbers.

25 | I mean, they're from the public financial documents.

Well, then there's going to be an issue 1 THE COURT: about whether you disclosed it or whether it's on the exhibit 2 list, all that sort of stuff; right? And I don't know the 3 answer to any of those questions. 4 5 MS. MOORE: Well, I think --The answer is no. I mean, I think MR. STEKLOFF: 6 they're saying that they need Dr. Mills to go pull that this 7 week, which by definition means that he didn't pull it 8 previously and disclose it. 9 MS. MOORE: Your Honor, I'd like to have the 10 11 opportunity to look at that and then report back to the Court on that, and we'll also meet and confer with defendant about it 12 13 too. That's fine. THE COURT: 14 15 MS. MOORE: Because, like I said, some of the numbers I saw were actually larger than what we have in the proposed 16 17 stip, so --18 THE COURT: So that's fine. 19 MS. MOORE: Okay. Thank you. 20 THE COURT: But as it stands now, items 1 and 2 can 21 come in. 22 MS. MOORE: Right. The rest of these items cannot, the items 23 THE COURT: that you've listed on your proposed stip --24 25 MS. MOORE: I understand.

1 THE COURT: -- because of the timing.

And item 9 can't come in at all. I mean, that's something that can't be cured, in other words. There's an issue of whether you could cure the other items by changing the date, and there may need to be an argument about that.

MS. MOORE: Okay. I understand, Your Honor.

MR. STEKLOFF: And can I just raise, Your Honor, even to your point -- your ruling that the other items other than 1 and 2 somehow could go to reprehensibility, I think that even if you take the 2012 numbers -- I don't know what they are, they haven't been disclosed --

THE COURT: I'm sure they're pretty high.

MR. STEKLOFF: Sure, let's assume that.

-- the fact that Monsanto paid cash dividends does not -I don't know how that could go to, just as an example,
reprehensibility. I mean, the fact that they conducted
research and development, and we're not focusing here solely on
glyphosate or Roundup, I'm not sure that that goes to
reprehensibility.

I think they can make an argument based on --

THE COURT: Well, it may not be super-strong evidence but it does seem relevant. I mean, why is it not -- why isn't it not relevant -- why isn't it not appropriate for -- assuming they've disclosed the evidence and it's properly on the exhibit list and all that kind of stuff, why is it not appropriate for

1	the plaintiff to say, "Look at all of these gazillions of
2	dollars that Monsanto is spending on all of these different
3	things and not a dime of it was directed to conducting an
4	objective inquiry into whether its product was causing cancer"?
5	MR. STEKLOFF: Well, I think if the jury is told that
6	Monsanto had \$2.4 billion cash on hand, then that argument can
7	easily be made without these other areas, which even if they
8	have some minimal probative value, I really think are designed
9	to inflame the passions of the jury and would be excludable
LO	under 403. So that's
L1	THE COURT: I mean, take I think their best one is
L2	probably the advertising figure; right?
L3	MS. MOORE: Uh-huh.
L4	THE COURT: Look at I mean, the theme from this
L5	phase is that, you know, that Monsanto is seeking to manipulate
L6	public opinion and the opinion of the scientific community and
L7	the regulators and all that and, here, look at all this money
L8	they spend on advertising and they don't spend any money
L9	objectively researching their product.
20	MR. STEKLOFF: I think that there are a number of
21	tests so they did spend money objectively researching their
22	product.
23	THE COURT: No, I'm not expressing my own opinion on

that. I'm saying why isn't it legitimate for them to argue

24

25

that.

MR. STEKLOFF: Well, part of the problem is that -well, and maybe this is a circle, but since it wasn't
disclosed, I can't tell you what the number was that they spent
on tests in that same time period because it wasn't disclosed
in Dr. Mills' report. We weren't able to cross-examine him
with those types of things, and so we're sort of running into
this problem where I think there would be very appropriate
responses to that.

You know, the research and development number that they have in their stipulation is not broken down but it may involve testing. I wasn't able to -- or we weren't able to question Dr. Mills about that.

And so I think picking numbers that they think are favorable to inflame the jury without both the disclosure and, I think, the other relevant information that we would have disclosed causes a problem, and I think that's why -- I mean, in my experience we have stipulated in other litigations to net worth and cash on hand for this purpose.

I think that a lot of arguments can be made about what Monsanto could have done with \$2.4 billion cash on hand. We have now heard, both in opening and I think we'll see it again when the RFAs are read, that certain tests we admit weren't done, an epidemiology test wasn't done. They can certainly argue that you could have spent whatever portion of the \$2.4 billion to create the best epidemiology study ever.

And I just think we're running down a path where we have 1 notice issues and 403 issues. 2 THE COURT: And your argument is sort of those two, 3 you've got the notice issues and then you've got, you know, the 4 5 fact that they can already use, you know, item 1 and item 2 to make the arguments they want to make and how much more do they 6 7 really need, particularly given the fact that we have the notice issues. 8 MR. STEKLOFF: Yes. 9 THE COURT: That's your argument and I get that, and 10 11 that may be right. It may not be right. I'll give you a chance --12 13 MS. MOORE: Thank you, Your Honor. THE COURT: -- to look at it --14 MS. MOORE: And we'll work on it. 15 16 THE COURT: -- and we'll talk about it on Friday, I 17 quess. 18 MS. MOORE: Very good. But I quess let me ask you this, just as a 19 THE COURT: 20 practical matter. I mean, I believe, and I've stated -- I think I said this pretrial in the motions in limine -- that the 21 22 Bayer acquisition or Bayer acquisition of Monsanto can come in. 23 MS. MOORE: That's right. So the question is if it's -- if the 24 THE COURT: 25 plaintiffs are limited to number 1 and number 2, are they going

to need to call Dr. Mills in to testify to number 1? 1 MR. STEKLOFF: We will not require Dr. Mills to come 2 in, and we will try to save them some precious minutes on 3 number 1 and number 2. 4 I think if some of the other ones do come in, and this is 5 not to create a problem, even given testimony he gave about 6 similar metrics with the 2018 numbers, we would want to 7 cross-examine him I think on some of those other metrics. 8 THE COURT: Okay. 9 MR. STEKLOFF: But I had told Ms. Moore that we would 10 11 stipulate to number 2. We were going to challenge number 1, but Your Honor has deemed it admissible, but we will stipulate 12 to that as well. 13 THE COURT: Preserving any objection you have, of 14 15 course, to it. 16 MR. STEKLOFF: Yes. Yes. THE COURT: That's fine if you want to --17 MS. MOORE: We can add some language in the stip to 18 that effect if they want to. We can work that out, Your Honor. 19 I don't think that we have anything else. I think the 20 RFAs, Mr. Stekloff and I just need to meet and confer about 21 22 that. We'll try to do that after court today so we can get 23 back to you on Friday regarding the RFA issue. 24 **THE COURT:** Okay. 25 MR. STEKLOFF: And then Mr. Kilaru is going to handle

if there's anything else about --1 2 THE COURT: The -- so I guess -- so the remaining issue is the EPA officials? 3 MR. KILARU: Yes. 4 5 THE COURT: And I guess -- I don't remember exactly where we left off on that this morning, but I think I was 6 saying that -- I was kind of pressing you on, you know, what 7 would be the problem with admitting, you know, just this 8 paragraph on ATSDR, and then it's sort of stripped of all the 9 10 IARC stuff. It's not taking us down the IARC road, but it's 11 arguably relevant because Monsanto is in kind of cozy communication with this senior EPA official, who has been there 12 for decades. Why is that not relevant? 13 So Your Honor had also asked two factual 14 MR. KILARU: 15 questions, which I think bear on the answer to that. 16 THE COURT: Okay. 17 MR. KILARU: So one of them is about Mr. Rowland's 18 role at the EPA, and so he was -- we're pulling this from his 19 deposition so I'm not 100 percent sure this is the right 20 timeline, but I think it's close enough based on what he 21 said -- he was the deputy director, which was the position he had at this time, going back to 2010 or 2011, and he had worked 22 23 at the EPA going back to 1990 in various different divisions

I can break --

and various sections.

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1	THE COURT: He was Deputy director of what?
2	MR. KILARU: Of the Health and HED, which
3	MR. WOOL: Health Effects Division.
4	MR. KILARU: Health Effects Division.
5	THE COURT: Going back to 2010?
6	MR. KILARU: Yes. And he worked on basically these
7	CARCs, the C-A-R-Cs, which are designed to evaluate specific
8	chemicals. The EPA did a CARC assessment. Mr. Rowland
9	presided over one. He was the chair of the committee that was
10	released I believe in 2015-2016.
11	THE COURT: And what did he do before 2010? Do you
12	know?
13	MR. KILARU: He was yes. He was a senior scientist
14	at the EPA in 2009. He was the chair of a CARC. I'm not sure
15	if it was one or if there are multiple ones.
16	And then before that, he worked as a branch chief at EPA
17	in various different branches going back to 1998.
18	And then before that, he was, I think, a staff member at
19	the EPA going back to 1990.
20	THE COURT: Okay.
21	MR. KILARU: The other thing we looked at is very
22	closely is, through the depositions and the documents, whether
23	there's anything to suggest that whatever relationship may be
24	revealed by this e-mail predates 2012, and there is no evidence
25	of that. I mean, I don't believe the plaintiffs have

identified any and we're not aware of any. 1 And so I think in response to the question you started 2 with, Your Honor, first, I don't think that that -- taking this 3 one paragraph out of context about ATSDR, I think there are 4 5 concerns about that from a sort of telling the jury what's 6 actually going on because there's talk about killing something 7 in 2015 that, you know, we actually haven't heard from Mr. Rowland on. This is someone relaying what Rowland said. 8 The jury won't know what that is. They'll just know that it 9 happened in 2015 so they can kind of only consider it from the 10 11 perspective of 2015. And because there's no evidence to show --12 13 THE COURT: And what did happen? There was -- the FDA was looking at the issue? 14 15 MR. KILARU: Yeah. There's a separate agency ATSDR 16 that was thinking about looking at glyphosate. 17 THE COURT: And ATSDR, is that something within the 18 FDA or --MR. KILARU: I think it's within HHS, Your Honor. 19 THE COURT: Okay. 20 MR. KILARU: Yeah, which FDA also is, but I don't 21 think it's under the FDA umbrella. 22 23 THE COURT: Okay.

MR. KILARU: So they were thinking about looking at

They ultimately chose not to. The reasons why I think are

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it.

not in the record here, and --1 THE COURT: Well, some of them are; right? I mean --2 MR. KILARU: Well, there's speculation about why, yes, 3 but I don't think the actual official reason why or any 4 5 official statement from the agency about what they did is in the record. 6 And we know there's speculation about why they did what 7 they did, but ultimately they didn't do it; and EPA then 8 released some more assessments of glyphosate, which, you know, 9 we haven't talked about but they did do. 10 11 So I think the concern is taking this paragraph out of context, the jury won't know what it's about. What it is about 12 we all know is 2015 conduct, and there is in fact nothing in 13 the record to tie this 2015 conduct to something that dates 14 15 before 2012. 16 It's not, like, for example, one of the examples we talked 17 about last week -- I think it was the redacted e-mail -- where they're saying, "Oh, back in 2000 we did this thing." 18 19 Obviously you know our position on that, but that at least ties 20 it back to 2012. Here there is no way on this e-mail or with other evidence 21 to say that anything was going on before 2012. And just in 22 23 point of fact, in his deposition Rowland said he barely knows

THE COURT: That doesn't mean anything to me but, I

anyone at Monsanto. I mean, he said that under oath.

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mean, you know, what you're saying is there could -- there
might have been -- you might have expected something like "Jess
Rowland has been -- you know, has communicated with us
forthrightly for the last decade" or, you know, some indication
in the documents somewhere that he had an ongoing relationship
with Monsanto.

MR. KILARU: If it was, in fact, yes.

THE COURT: Okay. What about this other person? We haven't talked about this other person yet. Jack Housinger. Who's Jack Housinger?

MR. KILARU: I believe he was Mr. Rowland's supervisor at EPA for some time. The information on his employment and tenure at EPA is a little harder to discern because he wasn't deposed, and so I think figuring out how long he was there, when he was there, I don't have the answer to that.

I think this e-mail is about a communication in 2015 regarding what was going on at ATSDR and agencies communicating with each other about not sort of putting out conflicting reviews at the same time perhaps; but I don't believe there's any evidence as to Housinger that goes back -- I mean, Mr. Wool can tell me if I'm wrong -- but I don't believe there's any evidence that goes back before 2012 and I think other than this e-mail, I'm not aware of any communications between -- I'm not aware of many significant communications that would suggest a preexisting relationship that goes back past 2012.

**THE COURT:** Okay.

MR. WOOL: And I don't think that we would contend that, you know, we have a trove of e-mails or documents that establish a pre-2012 relationship. I think that these are relevant because I think, as of this morning, it's fairly evident that Monsanto intends to rely heavily on EPA, its decisions that emphasize that the decisions span 40 years, which the jury can do the math and figure that that postdates Mr. Hardeman's use.

And I think the cat is also out of the bag in the sense that the jury knows that Roundup is still on the market. And so they're probably wondering, "Well, why is that?" And if you accept Monsanto's slides from this morning and their argument where they ask who is EPA, who are these scientists, the implication is obviously that they're independent.

And so this sort of goes directly to how much stock the jury should put in EPA's decisions, how much stock they should put into the 40-year relationship.

And then we also know from the Reeves testimony that there are kind of communications with EPA going back to the 1980s.

And so this taken together suggests that --

THE COURT: Well, and that is in.

MR. WOOL: Right, that is in. But this taken together suggests that that is kind of the way business works rather than an isolated instance that happened in 1985. And so, you

know, that's really what we would want to introduce that for. 1 THE COURT: Yeah, I understand the arguments, and I do 2 think -- I will tell you that I do think that these are 3 relevant even if you look at the punitive damages issue the way 4 5 I'm looking at it, which is you need to look at the conduct that harmed Mr. Hardeman. I do think that these are relevant 6 7 because they help you kind of establish your point that you're trying to make that Monsanto has captured the EPA or whatever. 8 So I understand that. I really think it's a 403 question, 9 and I'll give it some thought and I'll let you-all know either 10 11 in an order tomorrow or verbally on Friday. MR. WOOL: All right. And if Your Honor would like 12 13 some briefing on that or something along those lines --MR. KILARU: Your Honor, can I just make one point 14 15 about the opening? 16 THE COURT: I don't think that's necessary. MR. KILARU: One point about the opening. We actually 17 18 didn't get into the 40-year issue. I don't believe that was in 19 our slides. I actually think our slides -- I know there was 20 one comment that we addressed at sidebar, but in our slides we 21 ended at 2012. 22 THE COURT: Yes. MR. KILARU: That's where we focused on it. 23 THE COURT: Absolutely. 24 25 MR. KILARU: And I do think if we're going to talk

about the 40 years and go down this path, then there is a lot 1 of evidence past that about what EPA did that I think -- and 2 what other people have done that I think might be relevant. 3 THE COURT: Even after Jess Rowland left. 4 5 Is what's his name still there? MR. KILARU: I don't know if he's still there or not, 6 but --7 THE COURT: Jack Housinger? 8 MR. KILARU: I'm not sure he's there or not still. 9 Ι know Rowland is not there. He's been retired for several 10 11 years. But the point I was trying to make is that I think getting 12 13 into purely post-2015 evidence that can't be tied to pre-2012 with any reliable evidence in the case, if we're doing that, I 14 15 think then there's another part of the story that we've not 16 been telling and I think consistent with your rulings have not 17 told but I think should come in. And what I think the better course would be is just to not 18 have it come in at all because, as Mr. Wool has pointed out, 19 20 they did designate some testimony today about communications 21 before 2012 and we did not really contest those. And to the 22 extent 40 years came up, I believe it came up in designated 23 testimony asked of Mr. Reeves. 24 THE COURT: Okay. 25 MR. KILARU: We've been trying to stay on the right

1	side of that.
2	THE COURT: I understand the arguments. I'll give it
3	a little more thought and let you know.
4	Is there anything else that we need to be discussing this
5	afternoon?
6	MS. MOORE: I don't think so, Your Honor.
7	THE COURT: Okay.
8	MR. KILARU: Thanks, Your Honor.
9	THE COURT: All right. Thank you.
LO	(Proceedings adjourned at 3:33 p.m.)
L1	000
L2	CERTIFICATE OF REPORTERS
L3	I certify that the foregoing is a correct transcript
L4	from the record of proceedings in the above-entitled matter.
L5	DATE: Wednesday, March 20, 2019
L6	
L7	
L8	
L9	Jo Ann Bryce, CSR No. 3321, RMR, CRR, FCRR U.S. Court Reporter
20	O.B. COULT REPOLECT
21	
22	Marla Krox
23	Marla F. Knox, RPR, CRR U.S. Court Reporter
24	o.b. court Reporter
25	